

1. AES seems weak. 2. Linear time secure cryptography

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June 22, 2007

Abstract — We describe a new simple but more powerful form of linear cryptanalysis. It appears to break AES (and undoubtably other cryptosystems too, e.g. SKIP-JACK). The break is “nonconstructive,” i.e. we make it plausible (e.g. prove it in certain approximate probabilistic models) that a small algorithm for quickly determining AES-256 keys from plaintext-ciphertext pairs exists – but without constructing the algorithm. The attack’s runtime is comparable to performing 64^w encryptions where w is the (unknown) minimum Hamming weight in certain binary linear error-correcting codes (BLECCs) associated with AES-256. If $w < 43$ then our attack is faster than exhaustive key search; probably $w < 10$. (Also there should be ciphertext-only attacks if the plaintext is natural English.)

Even if this break breaks due to the underlying models inadequately approximating the real world, we explain how AES still could contain “trapdoors” which would make cryptanalysis unexpectedly easy for anybody who knew the trapdoor. If AES’s designers had inserted such a trapdoor, it could be very easy for them to convince us of that. But if none exist, then it is probably infeasibly difficult for them to convince us of *that*.

We then discuss how to use the theory of BLECCs to build cryptosystems provably

1. not containing trapdoors of this sort,
2. secure against our strengthened form of linear cryptanalysis,
3. secure against “differential” cryptanalysis,
4. secure against D.J.Bernstein’s timing attack.

Using this technique we prove a fundamental theorem: it is possible to thus-encrypt n bits with security 2^{cn} , via an circuit Q_n containing $\leq cn$ two-input logic gates and operating in $\leq c \log n$ gate-delays, where the three c s denote (possibly different) positive constants and Q_n is constructible in polynomial(n) time. At the end we give tables of useful binary codes.

A cryptosystem has “security level S ” if the fastest low-memory cracking algorithm with success probability $\geq 2/3$ performs work roughly equivalent to S encryptions. (Of course, there is a *high*-memory cracking algorithm, which is simply a giant lookup table of the key for every plaintext-

ciphertext pair. It would run almost instantaneously.) Any secret key cipher with a K -bit key can be cracked by exhaustive key search by performing $\approx 2^K$ encryptions. It is a usual design aim to try to make the security level attain this 2^K upper bound.

1 DES and AES, their demise, and the demise of privacy generally

DES and its successor AES were the product of cryptosystem-design competitions (1974, 2001) sponsored and judged by the US Government and as such are the two most famous cryptosystems.

DES’s obvious weakness was its short (56 bit) secret-key length. That made it vulnerable to brute force key search. Indeed, a DES-cracking engine was built by the Electronic Frontier Foundation in 1998 for under \$250,000; it typically cracks DES in under 1 day.

DES also was shown by M.Matsui to be theoretically vulnerable to **linear cryptanalysis**. Matsui [49] argued that DES would be breakable by anybody with access to 2^{45} random plaintext-ciphertext pairs. Matsui then confirmed [50] his theory by implementing and successfully running his attack. The bulk of the time consumption in Matsui’s attack was simply producing the plaintext-ciphertext pairs; actually processing them to determine key bits consumed only 20% of the time. Reduced-round versions of DES are attackable faster. Roughly, the number of pairs required grows exponentially with the number of rounds, i.e. please raise it to the power f , $0 < f < 1$, if we are attacking a DES version with only a fraction f of the usual number of rounds.¹

After DES’s deficiencies become too obvious, **AES** was thrust upon us. AES was designed by Joan Daemen and Vincent Rijmen [20] and in 2001 won a multiyear international cryptosystem design competition run by the USA’s NIST (National Standards Institute). It is an elegant and fast design. And Daemen and Rijmen were aware of linear cryptanalysis and specifically designed AES’s Sbox to resist it.

However, they were not aware (and neither were the evalua-

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¹Later, Knudsen & Mathiassen [45] showed that by using *chosen* plaintexts aimed at the specifics of DES’s first round, Matsui’s attack could be sped up by a factor of ≈ 4 , and, e.g. an attack using 2^{42} ciphertexts arising from chosen plaintexts would determine 12 bits of the key with success probability $\approx 86\%$. (At that point the remaining 44 key bits could be determined using a much smaller search; the EFF’s DES-cracker could do that in under a minute.) Junod [39] also explored refinements of Matsui’s attack, finding 2^{41} DES evaluations would suffice to find the whole DES key with success-probability 85%, and 2^{39} with probability $> 50\%$. He confirmed this by cracking DES 21 times.

²Who explicitly falsely stated that Sboxes were immune to timing attacks.

tors at NIST²) of the fact that every cryptosystem involving table-lookup **Sboxes** is vulnerable to **timing attacks** based on data-dependent cache-miss slowdown behavior for lookup tables, exhibited by modern computers. Daniel J. Bernstein [4] in 2005 successfully mounted an attack on “open AES” software included in “SSL.” The software ran on a remote computer with a fixed key, which Bernstein interacted with solely by sending it plaintexts, and getting back ciphertexts with timestamps. His attack, which he described as “embarrassingly simple,” successfully determined the AES key in 1 day based on 2×10^8 plaintext-ciphertext-time triples³.

As AES-defenders have observed, this is not really a flaw in the AES *as an abstract algorithm*, but rather in its *implementation*. AES could still be made safe against Bernstein’s attack if it were implemented in correctly-designed hardware. However, as Bernstein explains [4], it is extremely difficult or impossible to implement AES on commonly available computers to be both (1) fast and (2) immune to this kind of attack, and (3) even if you do, it could be very hard to be sure that you have, and some person or compiler innocently “optimizing the code” could destroy security, and hence Bernstein concludes (and I agree) that for any cryptosystem purporting to the great generality and applicability that AES does, this is unacceptable! Sadly, almost every cryptosystem so far designed has Sboxes.

But we shall show that AES also has **genuine algorithm weaknesses** that have nothing to do with timing or implementation. First of all we shall argue that AES’s supposed resistance to linear cryptanalysis is a myth.

In §2 & §3 we’ll outline an attack on AES-256 which plausibly will deduce its key from a number of plaintext-ciphertext pairs well below the claimed security level of 2^{256} . We actually have several claims.

1. We give an analytic method which makes it plausible that a low-memory cracking algorithm exists, which will (with high probability) determine AES keys (or merely reduce the size of the key space by some power of 2) from random plaintext-ciphertext pairs (“pc pairs”) and the work and number of pairs required is far smaller than AES-256’s alleged security level 2^{256} .
2. *Note:* we do not actually write down this cracking algorithm, and do not know what it is. We merely argue *nonconstructively* that it probably exists. Our analysis (for the first time?) makes it clear that there are really *two* kinds of security level for cryptosystems – security against nonconstructive cracks and security against explicit cracks.
3. Next, even if the above argument is somehow wrong, we still can argue that AES and cryptosystems like it could contain a “trapdoor” intentionally inserted by their designers. (Actually, the above argument can be regarded

as making it plausible that such a trapdoor exists that is *unintentionally* present. But now we are considering inserting one intentionally.) If so, then anybody aware of this trapdoor could carry out a much faster-than-expected attack against AES. *This* attack would be fully explicit.

The two heuristic assumptions about AES needed to make our cryptanalyses work are

1. The nonlinearities inside AES’s Sboxes behave enough like independent random bits.
2. The “codes of the code” for AES (these are certain binary linear error correcting codes that may be associated with secret-key cryptosystems, §10) behave enough like independently selected random binary linear codes.

There is considerable experimental evidence from many workers for many cryptosystems (and also we give some theoretical understanding of why this is true) indicating the validity of assumption #1. But assumption #2 is far less clear.

Recently press reports and lawsuits by the EFF [23] have exposed the fact that the **US government** has mounted a massive wiretapping and databasing effort to get a copy of every email from anybody to anybody and store it forever. Obviously, then, it is willing and able to muster huge attack resources.

A second possible problem with AES – albeit currently nobody really knows how to wield this one effectively (and neither do I) – is the fact that its byte-to-byte Sbox (its sole source of nonlinearity over GF2) is just (aside from some linear transformations) the field-inversion map in GF(2^8). That means every operation AES does, is a *field operation* in the finite field GF(2^8). That means it is subject to **algebraic attacks**.

It would have seemed superior to deny the cryptanalyst the power of field operations and algebraic thinking. For example, the RSA public key cryptosystem is crackable by anybody who can factor large integers, and very sophisticated subexponential-in- N -time algorithms (quadratic sieve, number field sieve, etc) have been developed for prime factorization of N -digit integers. These algorithms are inherently based on the fact that integers are a *ring* and the integers modulo primes form a *field*. Hence, public key cryptosystems based on elliptic curve *groups* [9] seem superior [46]. Because groups offer the cryptanalyst far less to work with than fields, nobody has yet found a way to break these systems in anything below exponential time.

The possibility of algebraic attacks on AES was considered by Schroepel et al [24]. While admitting they had no effective attack, they demonstrated that the effect (and also

³Under a year later, Osvik, Shamir, and Tromer [54] gave a more refined attack which cracks AES in only *65 milliseconds* with only 800 pairs! But that required the attack program to be running on the *same* computer in parallel while monitoring timings of both the encryptor and of itself conducting cache-using experiments, which is a less realistic scenario. (If you could do that, why not just listen to the keystrokes of the encryptor?) Bonneau & Mironov [11] in 2006 improved Bernstein’s attack (exploiting a peculiarity of the last round of AES and employing a cache model) to recover the AES-128 key after about 8000 timing measurements on a Pentium III running OpenSSL 0.9.8. (They also believe many other AES implementations are attackable.) The Bonneau-Mironov attack not only is faster than Bernstein’s but also requires only ciphertext-timestamp pairs (preferably exact to one CPU cycle and assuming the cache begins “cold”), *not* known plaintext. Bernstein’s attack would still work even if other processes were running on the encryptor-computer and even if there were network delays – these would just add “noise” to the timings and N times more noise would just make the attack take N^2 times longer. But even assuming $N = 10^9$, which is a very conservative estimate in today’s technological world, and no improvements whatever to Bernstein’s crude algorithm, Bernstein’s attack would still run in *far* less time than 2^{128} encryptions, so it definitely qualifies as a realistic “break” of AES.

the backwards-direction effect) of R AES rounds could be expressed as a continued-fraction-like expression with R decks and 32^R terms. In particular (which they did not point out), their formula shows the following. Suppose you somehow know all bytes of the AES (expanded) key except one. To crack AES, then, you could try all 256 possibilities for the missing byte. If AES were well-designed, we would hope there were no faster way than such exhaustive trial to determine the missing byte. But – is there a faster way? For 2-round AES, setting the 1-round forward formula equal to the 1-round backward-direction formula shows that the missing byte is the solution of a quartic polynomial equation, and hence is obtainable using the quartic formula without *any* search.⁴ This, while not a break of full AES, would seem to suffice to demonstrate at least some kind of suspicion of algebraic weakness.

AES’s current status. In view of both (a) Bernstein’s timing attack, (b) our nonconstructive argument for a cracking algorithm, (c) the possibility of a “trapdoor,” we believe that

1. AES should be abandoned
2. cryptosystems provably immune to these attacks should be investigated, and
3. our argument for crack-existence, since it is nonrigorous⁵, should be investigated more carefully. In particular, for some artificial class C of cryptosystems, I would like to see a full experimental investigation of our attack on the members of C small enough to permit a full investigation, followed by an attempt to extrapolate behavior to the large ones.

2 Linear Cryptanalysis Simplified

Consult the figure. Any cryptosystem (e.g. AES) of the sort we are interested in cryptanalyzing here may be written as a “circuit” made of “wires,” “XOR gates” (see figure a), and nonlinear multi-input, multi-output “Sboxes.”⁶ The “input” bits are the key and plaintext, and the output bits are the ciphertext.

Now, as in figure (c), each Sbox may be *replaced* by its best linear approximating circuit (which is made purely of wires, XOR gates, and “constant 1s”) *plus* “noise gates” (figure b) attached to the outputs..

Here by “best approximation” we mean, among all Boolean GF2-linear functions of the inputs, find the one which agrees

⁴Warning: The quartic formula was designed to work over the complex field and will not necessarily work over finite fields because the square and cube roots it asks for, may not exist. (And indeed, polynomial equations over finite fields do not necessarily have solutions, albeit in our cases a solution must exist.) However, square and fourth roots of GF(2^8)-elements always exist and for random field elements, cube roots exist with probability $> 1/3$. So it will work with decent probability.

⁵It is not possible to prove secret-key cryptosystem security without first proving $P \neq NP$. In the other direction, it currently usually is not possible to prove cryptanalyses will be successful without making heuristic probabilistic assumptions about the cryptosystem, which strictly speaking are false. The present paper does not escape from either of these shackles.

⁶The Sboxes encapsulate the GF2-nonlinearity.

⁷Actually, exactness could be retained even then too, but at that point you apparently won’t be able to do much useful unless you make an approximation. It may, however, be possible to retain exactness on just *some* noise gates but treating the rest probabilistically, thus improving over a pure-approximate approach. That might be a good focus for future research.

⁸ But do *not* “go both ways” – the total number of noise gates is conserved! Also, it is not allowed to slide a noise gate past a “T-junction” where two wires are soldered together, but that need not be an obstacle because it is possible to slide the T-junctions *themselves*, including through XOR gates, provided appropriate duplicate XOR gates are then added to the circuit to generate the correct duplicate signals as in figure g. Or, you can duplicate the noise gate and “slide it both ways” through the T-junction and up both foreign arms. Either way, this costs more gates – but enables further sliding.

with each output at the most possible input configurations. Put it there, and then add a “noise gate” to that output.

“Noise gates” are 1-input, 1-output gates which, with some probability p (which is smaller, the better the approximation was) perform an inversion $x \rightarrow \neg x$, but with probability $1 - p$ just transmit the input unaltered. Actually, for this to be an equivalent circuit, these inversion decisions have to be *nonrandom* and in fact are governed by some nonlinear function of the Sbox inputs (or outputs). However, the *approximate probabilistic model* is to model all the noise gates as actually making independent random decisions. That is a good model because cryptosystems are generally intentionally designed to “look random.”

Anyway, you can either make this approximation, or not (i.e. be exact). Either way is fine with us for the moment. We shall be able to retain exactness all the way until the final cryptanalytic step.⁷ If you want to be approximate, then *label* each noise gate with its characteristic probability value p . In fact it will be more convenient not to deal with p , but rather with the **unbalance** defined by $u = |1 - 2p|$. Note $0 \leq p < 1/2$ and $0 < u \leq 1$. If you want to be exact, then *label* each noise gate with a description of exactly what nonlinear function of what bits tell it when to invert.

Reversibility lemma. It is *artificial* to regard XOR gates as having two “inputs” and one “output.” In fact all 3 wires are equivalent; any two of them determine the other’s logical state and according to the same function in all cases. Also, is similarly is artificial to regard noise gates as having an “input” and an “output.” Again both wires are equivalent and the thing is reversible.

Noise gate mobility lemma. If you slide a noise gate along a wire, through an XOR or other noise gate, and continue along the wire (either wire is fine, if we go through an XOR gate⁸) continuing as far as we want, then the new circuit will be entirely equivalent to the old one. (For an example, see figure e. The top noise gate has slid down to the bottom right, and whether it now is above or below the second gate, does not matter.)

We also remark (although this is not needed for attacking AES) that we also can slide XOR gates along wires and through each other in various ways.

Noise gate unbalance lemma. The unbalance of each noise gate will be at least 2^{-n} assuming all Sboxes have $\leq 2n$ input bits (by Rothaus [61], or as in part 6 of our MM theorem in §6). Specific Sboxes may involve even-more-unbalanced noise gates that this (worse Sbox designs have larger u and lead to

easier-to-crack cryptosystems) but every noise gate will be at least this unbalanced.

Piling-up lemma: Consider the scenario of figure (f), with n noise-gates in succession along a wire.

1. If the noise-gate inversion decisions are modeled as statistically *independent*, then the net effect is the same as a *single* noise gate with unbalance $U = u_1 u_2 u_3 \cdots u_n$ which is the product of the individual unbalances. (And again $0 < P < 1/2$.)
2. But if two noise-gate inversion decisions perhaps are *dependent* in a positively-correlated manner, then this product is an *lower bound*: $U \geq u_1 u_2 u_3 \cdots u_n$.
3. To get at least constant confidence you know any particular key bit, it suffices to perform U^{-2} experiments (if that bit is attached to an unbalance- U noise gate and you only see the noise-corrupted bit each experimnt).

Proof. The third claim is standard. To prove the first claim, we first prove it for $n = 2$. The probability the circuit in figure (f) yields a “1” at its output is

$$\begin{aligned} 1/2 - U/2 = P &\equiv (1 - p_2)p_1 + (1 - p_1)p_2 = \\ &= (1/2 - u_2/2)(1/2 + u_1/2) + (1/2 + u_1/2)(1/2 - u_2/2) = \\ &= 1/2 - u_1 u_2 / 2. \end{aligned} \quad (1)$$

For $n > 2$ the proof is by induction. Now consider the case of dependence. If the two gates are “positively correlated,” i.e. one inverting makes it more likely that the other does, then the same derivation as above still works except that the “=” sign which we have written \equiv is replaced by “<.”

Q.E.D.

This lemma was stated by Matsui. Our only new contribution is the middle claim which largely explains why it is that any real-world departures from the crude model of exact independence, tend to *help* the cryptanalyst. If the cryptosystem uses byte-to-byte Sboxes which permute their 256 inputs (and AES does) then a noise-gate deciding to invert one Sbox output tends to make it *more* likely some other output’s noise gate will also invert (if the best-linear approximating map is invertible, i.e. 1-to-1, there has to be another flip or we’ll destroy bijectiveness). So case 2 of the lemma tends to apply and linear cryptanalysis ought to work better versus AES than the naive probabilistic model says.

We are now ready to explain **linear cryptanalysis**. Our version of it, which now makes a connection to the theory of linear error correcting codes [47], is this.

Linear cryptanalysis (the algorithm), and the “code of the code”:

1. Write down the equivalent circuit of the cryptosystem.
2. Replace all nonlinear Sboxes by their equivalent circuits [best linear approx followed by or preceded by noise gates] as in figure (c). The linear circuits all are to be written in terms of XOR gates and constant 1s only.
3. Use noise-gate mobility to slide all the noise gates along the wires until they reach key-bit inputs. (Note: the

topology of the wire-interconnections may make this impossible for some cryptosystems, at least without enormous duplication requirements caused by “sliding through T-junctions” as in footnote 8; but it is *trivially* possible for the AES cryptosystem, since each noise gate (we locate them at the Sbox *inputs*) only needs to slide through a single XOR gate to reach a key-bit, at which point each and every bit of the [extended] key will talk to a totally-GF2-linear circuit through exactly one noise gate.)

4. We may now use reversibility to regard the plaintext and ciphertext bits as the “inputs” and the key bits as “outputs.” If the number of key bits is less than or equal to the number of plaintext bits then (in general) the values of the key bits (albeit polluted by noise) will thus be *determined*. We then just do this over and over again with different random plaintext-ciphertext pairs each time to average out the noise and determine the key bits with confidence.
5. However, if the number of key bits is large enough then they will *not* actually be determined by the plaintext and ciphertext (underdetermined system, more unknowns than equations). But each pc-pair (for an n -bit plaintext) will always give a set of n GF2-linear equations satisfied by the set of noise-corrupted-key-bits. We shall describe how to handle that below.

To fix notation, say one of those n linear equations is

$$(K_1 \oplus R_1) \oplus (K_5 \oplus R_5) \oplus (K_7 \oplus R_7) = X \quad (2)$$

where K_j is the j th key-bit, R_j is the “random noise” bit from the noise-gate attached to K_j (which really is not random at all), and $X \in \{0, 1\}$ is the known right hand side for that equation. The *characteristic vector* of this equation is 1000101 (since the 1s are in positions 1,5,7). These characteristic vectors generate (by taking GF2-linear combinations) a *binary linear code* (“the code of the code”). By taking the correct linear combinations (i.e. by performing the correct row-operations on our equations⁹) we can generate *minimum-weight* words in this code, i.e. we can replace our equations by equations that each involve only w terms ($K_j + R_j$) where w is this code’s minimum *Hamming distance*. For example, the sample equation we just wrote has weight $w = 3$. Note that the code of the code depends purely on the circuit-structure of the cryptosystem (using whatever linear approximation schemes we chose) and is *independent* of the particular plaintext and ciphertext we have (that only affects the right hand sides of the linear equations).¹⁰

Now we may repeatedly do this with different random plaintext-ciphertext pairs each time to average out the noise and determine our min-weight GF2-linear combinations of key bits with confidence. *This constitutes gaining m bits of information about the key, which reduces the cardinality of key space by a factor of 2^m , if the cryptosystem’s circuit has m linearly-independent min-weight equations.*

By the piling-up lemma (after moving all w of the R_j s to the right hand sides of our min-weight equations) the number T of

⁹It is crucial here to note that the “random” R_j bits cancel out, $R_j \oplus R_j = 0$, because they actually are *not* random.

¹⁰Hence, the job of finding min-weight vectors in this code needs only to be done *once* even if, throughout our future lives, we plan to attack a huge number of pc-pairs and AES instances with different keys. Since we are only arguing *nonconstructively* for the *existence* of a fast low-memory cracking algorithm, we are free to assume foreknowledge of low-weight codewords.

plaintext-ciphertext pairs you need to gain at least constant confidence of getting any particular linear-combo’s Boolean value right, is

$$T = U^{-2w} \quad (3)$$

where U is the unbalance of each noise gate and w is the weight (number of key-bits involved in) that linear combination.

That formula was under the assumption, as in AES, that each key-bit is attached to exactly one noise-gate with unbalance value U (and all these unbalances are the same). Actually, more generally, the unbalances on each bit would *not* necessarily all be equal, in which case we would instead want to find minimum **weighted weight** codewords, i.e. which minimize $\prod U_j^{-2}$ where the U_j s are the unbalances of their bits. Then

$$T = \prod U_j^{-2} \quad (4)$$

pc-pairs would suffice.

More simply, the cryptanalyst could just ignore such bounds and just keep monitoring the mean and standard deviation of his bit estimates as they update, and just stop once he feels confident enough he really knows the bits (or when he independently verifies that he now knows the key). That way he is not depending on approximate probabilistic models, but instead on experiment.

Finally, let us explain the fact that one can also mount a **ciphertext-only attack** on a cryptosystem this way if the plaintexts are natural English text: there are certain Boolean functions (and hence by Rothaus’s approximation theorem, also GF2-linear functions) of natural English text which are naturally unbalanced. For example spaces and the letter “e” are very common, “q” is followed by “u” almost always, etc. Attach such “English detector circuits” to your cryptosystem-circuit’s “plaintext input bits” and set the detector outputs to be 1 (really $1 \oplus$ noise of course). Now the plaintext bits are no longer “inputs” of the circuit; the only inputs are now the ciphertext bits and 1s, and we can run the usual attack entirely easily. And these attacks all are entirely friendly with special purpose hardware.

3 Cracking AES (and easier if contains trapdoor)

We’ve now seen that *the complexity of breaking a cryptosystem using linear cryptanalysis depends crucially and in an exponential manner upon the minimum Hamming distance w of the “code of the code.”*

But finding – or even merely approximating – the minimum Hamming distance of binary linear codes is, in general, an enormously difficult task. (It is known [52] that even approximating the minimum distance to within a constant factor is not in RP unless RP=NP; and they also have hardness results even for finding worse-than-constant-factor approximations.) However, it is trivially easy to create a binary linear code which has amazingly low Hamming distance. Upon then presenting that code (in the form of a random generator matrix)

to somebody else, they would quite likely experience extreme difficulty in trying to find the small-weight words which you already know.

Let us now consider AES-256’s binary code. AES-256 has 14 rounds encrypting a 128-bit chunk of data. Each round XORs 128 key bits with the current data bits, then runs the 16 data bytes through bitwise Sboxes, then performs some GF2-linear operations. (Plus, at the end, there is a final XORing.) The Sbox thus occurs $224 = 14 \times 16$ times in the full AES-256 encryption circuit. This Sbox can be regarded as an 8-bit to 8-bit GF2-linear transformation preceded by 8 “noise gates” that describe its nonlinearity. By taking the right GF2-linear transformation, these noise gates can be made to have unbalance $U = 1/8$. So AES-256 can be regarded as having an associated $[1920, 128, w]$ linear code (where $1920 = 15 \times 128$).

Anybody who knew the min-weight codewords in AES’s code could crack AES (or at least reduce the size of its keyspace by a power-of-2 factor) with $\approx 64^w$ plaintext-ciphertext pairs, where w is the weight.

The crucial question is *what is w ?* We shall discuss **three ways to estimate w** :

1. An upper bound on w can be deduced from the “linear programming bound” for error correcting codes. Using an approximate (since asymptotic) form of that bound, we find $w \lesssim 790$. Obviously, if the AES-256 code’s w really is close to that, then AES-256 would be highly secure against our attack.
2. *Under the approximate assumption* that the AES-256 code behaves like a random code with its parameters, then we can estimate $w \approx 670$. Again, if the AES-256 code’s w really is close to that, then AES-256 would be highly secure against our attack.
3. The above two estimates have been pretending there is only *one* “code of the code.” Actually, the cryptanalyst’s freedom to choose any from an enormous number of combinations of linear-approximations to Sbox outputs means that there are an enormous number of different AES-256 codes. What matters is the *worst* one, i.e. the code which has the *least* w . We discuss how to estimate *that*, and the indications are that $w_{\text{least}} \approx 1$ is very small indeed, which would imply very efficient ways to crack AES-256.

3.1 Linear programming upper bound on w

The *asymptotic* form of the linear programming bound for binary $[n, k, d]$ error correcting codes (often called the “MRRW bound” [51]¹¹) is the upper bound in the following. It is valid for all binary codes including nonlinear ones. (The lower bound is the asymptotic form of the Gilbert-Varshamov lower bound and is valid for the *max-d* binary linear code.)

$$1 - H_2\left(\frac{d}{n}\right) \lesssim \frac{k}{n} \lesssim \min_{0 \leq u \leq 1-d/n} 1 + G(u^2) - G(u^2 + 2\frac{d}{n}u + 2\frac{d}{n}) \quad (5)$$

$$\text{where } H_2(p) \stackrel{\text{def}}{=} -p \log_2(p) - (1-p) \log_2(1-p) \quad (6)$$

$$\text{and } G(y) \stackrel{\text{def}}{=} H_2\left(\frac{1 - \sqrt{1-y}}{2}\right) \quad (7)$$

¹¹The simpler “Elias bound” (Theorem 5.2.12 of [70] and theorem 34 in chapter 17.7 of [47]) is $k/n \lesssim 1 - H_2(1/2 - \sqrt{(1/2 - d/n)/2})$. It yields $w \lesssim 873$.

For the AES-256 code, $n = 1920$, $k = 128$, and we find $d \lesssim 790$.

If AES's code's min-distance w really achieves this upper bound on d , then AES would be very secure against linear cryptanalysis.

3.2 The behavior of random codes

However, since AES's designers never explicitly discussed this issue (and plausibly were unaware of this whole line of thought) it seems more probable that the min-distance of a *random* code with these parameters, is closer to the truth.¹²

In that case our initial guess at w would be slightly below the Gilbert-Varshamov bound.

The Gilbert-Varshamov bound [72][47] argues that the best (d -maximizing) among a large number of random $[n, k, ?]$ codes must have minimum distance at least d provided

$$\sum_{i=0}^{d-2} \binom{n}{i} \leq 2^{n-k} \quad (8)$$

and with $n = 1920$, $k = 128$ this inequality is satisfied when $d = 677$ but not when $d = 678$. (The crossover point, to one decimal, is $d = 677.5$.)

We point out the following reasons why, a priori, we expect w somewhat *below* the GV bound.

1. The Gilbert-Varshamov argument lower-bounds the minimum distance of the *best* of a large set of random linear codes. The *typical* member of this set will have smaller minimum distance than an extreme member. E.g. if we ask the computer to explore random general linear $[62, 30, ?]$, $[93, 30, ?]$, and $[124, 30, ?]$ codes, then we find the empirical distributions of minimum distances in tables 3.1-3.3.

$d =$	4	5	6	7	8	9	10	11
$\#$	0	0	3	19	58	20	0	0

Figure 3.1. The empirical distribution of minimum distances d found in a sample of 100 random $[62, 30, d]$ linear codes. The Gilbert-Varshamov formula assures the existence of a $[62, 30, 10]$ code but not $[62, 30, 11]$. As you can see, the GV bound (which is 10.02 to two decimal places) underestimates the true best-possible minimum distance (≥ 12 according to the table [27] of linear code records) – as it must – but *overestimates* the *typical* minimum distance (7.95) for a random code, and indeed in these experiments the random code never reached the GV bound in 100 trials. \blacktriangle

$d =$	14	15	16	17	18	19	20	21
$\#$	0	1	4	32	48	15	0	0

Figure 3.2. The empirical distribution of minimum distances d found in a sample of 100 random $[93, 30, d]$ linear codes. The Gilbert-Varshamov formula assures the existence of a $[93, 30, 19]$ code but not $[93, 30, 20]$. The GV bound (which is 19.7 to one decimal) underestimates the true best-possible minimum distance (≥ 24) – as it must – but *overestimates* the *typical* minimum distance (17.7) for a random code, and indeed in these experiments the random code never exceeded the GV bound in 100 trials. \blacktriangle

$d =$	24	25	26	27	28	29	30	31	32
$\#$	0	2	8	7	22	40	13	0	0

Figure 3.3. The empirical distribution of minimum distances d found in a sample of 100 random $[124, 30, d]$ linear codes. The GV bound is 30.8; the best currently known code with these parameters has distance 36; and the mean min-distance empirically is 28.5. \blacktriangle

2. Pierce [57] showed that asymptotically for large random codes, a probability-fraction tending to 100% of the codes have minimum distance within a factor of $1 + \epsilon$ of the GV bound, for any $\epsilon > 0$.

3. At present, despite 50 years of research by an entire community and an immense number of clever code-constructions, nobody has been able to construct (or nonconstructively prove the existence of) any nonzero- and nonfull-rate family of binary codes which asymptotically beats the Gilbert-Varshamov distance bound arising from random codes by a factor $\geq 1 + \epsilon$ for any $\epsilon > 0$.

4. Finally, remember that really, we are not interested in the min-weight codeword in the AES-256 code (where weight is number of 1-bits in the codeword), but rather we want to minimize the “weighted weight.” Because the AES-256 encryption algorithm simply XORs in the final 128 key bits linearly without running them through a nonlinear Sbox, the weights on the final 128 coordinates (which is 1/15 of the coordinates, fractionally) really should be zero because these key bits have no noise-gates attached to them. Hence typically the weighted weight of a codeword will be about 14/15 of its ordinary weight.

3.3 The worst among a large number of random codes

The best (or nonbest, but still good) GF2-linear approximation to a nonlinear Boolean function usually is not unique, and indeed, according to my computer, for *each* of the 510 nonconstant Boolean functions F of AES's Sbox's 8 output bits, there are exactly 5 different GF2-linear functions of its 8 input bits which agree with F in 144 out of the 256 cases (this $p = 144/256 = 9/16$ corresponds to unbalance $U = 1/8$; and the same statement is true with the words “input” and “output” swapped everywhere in this sentence). Further, if we are willing to accept slightly worse linear approximations which agree in only 142/256 cases, then the number “5” grows to “21.”

This gives the cryptanalyst tremendous freedom of choice about which linear approximations to use. Since each Sbox has 8 output bits and there are 224 Sboxes, there are $5^{1792} \approx 2^{4161}$ different ways the cryptanalyst could replace those $224 \times 8 = 1792$ bits by their best-possible linear approximations – and if he were willing to employ slightly-suboptimal linear approximations, $21^{1792} \approx 2^{7871}$ ways.

Hence there is not just one “code of the code.” Really, AES-256 leads to an enormous number, of order 2^{4161} or much more, of binary codes – and what matters when discussing AES-256's security level against our nonconstructive crack, is the *worst* (w -minimizing) among them. Note that 2^{4161} , and

¹²Actually, my guess would be that the AES code actually is *worse* than a random code, but it is only a guess.

indeed its square root also, both are immensely larger than 2^{1920} .

This makes it seem very plausible that the min-weight in the worst AES-256 code is very small, of order 1. A theorem which supports that is:

THEOREM: Suppose when you sample kz random n -bit words, the expected number of them having weight $\leq d$, is ≥ 2 . Then the worst (w -minimizing) among z random $[n, k]$ binary linear codes, will (with at least constant probability) have min-distance $w \leq 2d$.

The proof is trivial. (This theorem is probably very weak,¹³ but suffices for our purposes because our z is so enormous.)

So, at least under the crude approximation of the AES-256 codes as independent random codes, we expect $w \approx 1$ and AES-256 should be extremely easy for God’s low-memory cracking algorithm to crack.

We warn the reader that this crude approximation *is* crude. (E.g. the AES-codes have similar “macro-structure” and thus are not at all “sampled independently.”) However, this has to be regarded as the natural first stab at making such an estimate, and the fact that it leads to such enormous apparent weakness for AES is certainly troubling.

3.4 One more easy security estimate

AES-256 has 256 key bits and 128 plaintext (and 128 ciphertext) bits. Suppose you wished to predict one key bit from the 256 plaintext and ciphertext bits. Assuming the prediction error (for a random GF2-linear predictor) behaved like a random bit, we would expect unbalance of order 2^{-128} . For the *best*, i.e. most-biased, among the 2^{257} possible GF2-linear functions of the 256 bits, we would expect¹⁴ substantially better performance $> 2^{-124}$. Therefore, just trivially predicting each key bit using the best GF2-linear predictors would suffice to determine any given key bit with constant confidence (and in expectation, a constant fraction of the key bits would become known) in equivalent work to doing 2^{248} encryptions. This trivial argument is quite convincing to me (independently of everything else in this paper) that AES-256 has security level against nonconstructive cracking below the advertised 2^{256} level.¹⁵ This is not a matter of the poor design of the AES-256 algorithm, it is merely a matter of a poor choice of parameters (key size and plaintext size) – this argument would apply against essentially *any* encryption algorithm with those parameters. An alternative interpretation is that the notion that security 2^K ought to be achievable for a K -bit key is overconfident. We now see that notion is essentially *never* correct versus nonconstructive cracking.

3.5 How nonconstructive is this?

The simple high-memory cracking algorithm – a giant lookup table of all key-plaintext-ciphertext triples – may be written

¹³For inspirational purposes, the Gilbert-Varshamov bound may be reworded as follows: THEOREM (Gilbert & Varshamov): An $[n, k, d]$ binary linear code exists, if when you sample 2^k random n -bit words, the expected number of them having weight $\leq d - 2$, is ≤ 1 .

¹⁴The greatest among 2^{257} independent samples of a standard normal random variable will be about 19, and $19 \times 2^{-128} > 2^{-124}$.

¹⁵Admittedly this only goes mildly below it; the reason the ideas in the rest of this paper (hopefully) achieve considerably greater power than that is because (a) we are approximately predicting not just one key bit in isolation, but all of them at once, and the “Chinese menu effect” gives us vastly more possible ways to possibly do that; (b) the normal-density approximation (preceding footnote) fails, massively to the cryptanalyst’s benefit, in the low tails; (c) we use the expanded key not the key, and the circuit structure of AES, to see that considerably better approximations than “random bits” should be available.

down with $O(2^{2K})$ encryptions worth of work for a cryptosystem with N -bit plaintext and K -bit keys where we employ K bits of plaintext to determine the key reasonably uniquely. For AES-256 that is $O(2^{512})$. There are 2^{2K} table entries. If this algorithm were available, cracking AES would just become a single table lookup. However, if bits could be stored 1 bit per atom with atoms packed at the densities available on Earth (i.e. 1 bit per cubic Angstrom), then the table’s 2^{520} bits would require a sphere over 10^{25} lightyears in diameter and any “single table lookup” would take far longer than the age of the universe.

To write down our low-memory AES-256 cracking algorithm, it suffices to do $2^{640} \sum_{j=0}^w \binom{1920}{j}$ operations. To explain that, we could compute, using all 2^{128} possible plaintexts, and all 2^{256} possible keys, for all 2^{257} possible GF2-linear functions A of the 256 plaintext and ciphertext bits, and for all $\sum_{j=0}^w \binom{1920}{j}$ possible weight $\leq w$ GF2-linear combinations B of the 1920 bits of the extended key, the correlation between A and B . We then remember the most important correlations (e.g. with greatest absolute value). If $1 \leq w \leq 15$ where w is an (unknown to me) suitable upper bound on the minimum weight of the words in the worst of AES-256’s “codes of the code,” then this takes 2^{660} to 2^{765} bit-operations. Once the crack-algorithm (i.e. the output of the preceding procedure) were available, then cracking AES would take about 64^w encryptions worth of subsequent work. The storage requirements are tiny.

3.6 Now get paranoid

Suppose AES-256’s designers had evilly arranged matters so that some “code of the code” (known to them) unexpectedly, contained a remarkably low-weight word (known to them), with say, $w \lesssim 10$. That would constitute a **trapdoor** enabling cracking it with much smaller effort 64^w . If $w = 10$, they could crack AES with $64^{10} = 2^{60}$ pc-pairs. If $w = 7$ then AES-256 would be as easy to crack as the cited attacks on DES (only 2^{42} pc-pairs needed)!

This could be the case even if the random codes approximation underlying our crude analysis in §3.3 was invalid.

3.7 Expanded key versus not – would this really crack AES?

When I said above AES would be “cracked,” I meant, more precisely, that a cryptanalyst could discover a high-confidence GF2-linear relation among the 1920 bits of AES’s expanded key. But could we determine the entire key in work $\ll 2^{256}$?

The answer is *yes* if enough codes of the code have enough low weight words which are linearly independent enough. In our original attack aimed at getting 128 linear relations, we could have used *another* set of linear approximations to AES’s

Sboxes. That would be a parallel and somewhat-independent attack of the same sort.

So we could use a second set of approximations to mount a second attack aimed at finding a second set of 128 linear relations. And a third set could be used to derive a third set of 128 linear relations. And so on. If 15 such 128-relation sets were found (or more for safety margin) then we'd end up with enough linear relations – *provided* enough of them turned out to be independent – to simply solve for the entire extended key by solving a 1920-dimensional system of GF2-linear equations (that would take minutes at most on a contemporary computer).

Whether this works depends on how often the minimum Hamming distances of the associated linear codes are small enough often enough (and I have no idea what these min-distances are; the whole attack is predicated on somebody knowing what they are¹⁶), and how independent the obtained linear relations turn out to be. If this could all be done with say 30 times the work needed for the original 128-relation attack, with $w = 15$ it would be $30 \cdot 2^{90} \approx 2^{95}$ work.

Note that the estimate in §3.3 is so strong that it predicts an enormous number of “codes of the AES code” exist with tiny minweights, so from its point of view, at least, we do not expect any trouble.

4 What now?

Obviously, §2's strengthened form of linear cryptanalysis will attack a wide variety of secret key cryptosystems, not just AES-256, and will quite often raise the worry that there might exist some intentionally or unintentionally inserted “trapdoor.” I currently see no feasible way for AES's designers to disprove the existence of this kind of trapdoor. And Bernstein's cache-timing attack [4] seems applicable against essentially any system that employs Sboxes. That's a lot of territory.

In short, *almost every secret key cryptosystem yet proposed is now busted or at least suspect.* We need to design new kinds of cryptosystems immune to both kinds of attack.

To get immunity to Bernstein's timing attack, the obvious fix is to get rid of Sboxes. But, more generally, we also have to get rid of all input-dependent branches, and all operations that are known to take time that varies depending on their data. (For example, on many processors, multiplication, division and/or cyclic shifting by data-dependent amounts take data-dependent runtime, with, e.g. multiplication by -1 , 0 , 1 , or 2 being faster than a general multiplication, or cyclic shift by 0 slots being faster than a general shift.) Bernstein [3] therefore invented `salsa20`, an encryption routine which followed the lead of Helix [25], TEA [62][75], and SHA-1 [64] by employing only $+$, $-$, \wedge , \vee , \oplus , \neg , and cyclic bitshifts by data-independent amounts. However, it isn't that easy:

1. SHA-1 has been broken: there is an approach to find collisions in only 2^{63} operations as opposed to alleged security level 2^{80} (and indeed explicit collisions have been

produced for SHA-1's predecessor SHA-0, Rivest's MD5 hash function, and SHA-1 reduced from 80 to 64 rounds) [74][68][21]. This is despite the fact that SHA-1 endured a multiyear “certification” process.¹⁷

2. I recommend jettisoning $+$ and $-$ from the operation-repertoire because it seems plausible to me that on some processors (perhaps future ones), these operations will take data-dependent runtime (e.g. faster to compute $x + 0$ than $x + y$). It is known that adding two N -bit numbers necessarily consumes order $\log N$ runtime in a circuit model, which is inferior to wordwise \wedge and \vee and \oplus , which consume only $O(1)$ parallel time.
3. I do not like Bernstein's decision to employ `salsa20` as a pseudorandom number generator, i.e. to encrypt data by XORing it with pseudorandom bits forming an artificial “one time pad.” One time pads are not secure if they are used twice, and Bernstein's approach makes it too likely that a naive user might do that.

To get immunity to §2's strengthened form of linear cryptanalysis and trapdoor-construction, there are several obvious approaches... but again, a second look reveals that it isn't so easy:

1. Wire your cryptosystem in such a way that noise-gate “sliding” is impeded by lots of “T-junctions.” The trouble is... there can be ways to slide past T-junctions anyway (see footnote 8) by modifying the circuit; and how are you going to prove that *no* equivalent circuit is vulnerable? And even if you cannot slide, that does not necessarily imply that (a new form of) linear cryptanalysis cannot still be used. And finally, it seems just as easy to add more nonlinear components to a system as to add more T-junctions, so why not do that instead?
2. Design your cryptosystem's Sboxes to be poorly approximable by linear functions, so that all noise gates have very small unbalances. I see nothing wrong with this idea, but we need a theory of how to build good Sboxes from the small repertoire of allowable primitives – we'll develop one in §6 – and this idea *alone* does not yield as much security as we would like.
3. Wire your cryptosystem in such a way that the “code of the code” has large minimum Hamming distance. The trouble is... there are many possible “codes of the code” arising from different linear-approximation schemes and “sliding” decisions – and how do we assure that they *all* have large minimum distances? Again, a theory is needed, and is provided in §6-7.

Not surprisingly, both of these theories will rest heavily on the theory of binary linear error correcting codes [47].

5 Some useful gadgets

We shall largely operate on data (plaintext and key) via wordwise \oplus , \vee , \wedge , \neg and cyclic bitshift (by data-independent distances) operations. These were chosen because they have constant runtime. We need to build useful gizmos out of these.

¹⁶Computing them would be a large computation, but it need only be done once to provide the foundation for an arbitrary number of future attacks on AES – and this “foundation” is entirely storable in a small number of bits. This work in any event is irrelevant because we here are only claiming that a low-space AES-cracking algorithm *exists*, and for that claim it does not matter how hard it is to *determine* that algorithm.

¹⁷Well, so did AES...

The *unique* (up to GF2-linear transformations) nonlinear Boolean function of *two* input bits is $\text{AND}(x, y) = x \wedge y$.

If you want a *balanced* nonlinear Boolean function (i.e. its output is equally likely to be 1 or 0 with random input) then we need to use *three* input bits. The “Fredkin gate” (well, up to GF2-linear transformations) is

$$\text{Fred}(x, y, z) = (x \wedge z) \oplus (y \vee z) \quad (9)$$

The “majority function” is

$$\text{Maj}(x, y, z) = (x \wedge y) \vee (y \wedge z) \vee (x \wedge z) = (x \wedge y) \vee (z \wedge (x \vee y)). \quad (10)$$

These are (up to GF2-linear transformations of the 3 inputs and complementation of the 1 output, and ignoring GF2-linear combinations of z with a Boolean function of x, y) the *only* two 3-input nonlinear Boolean functions with output 1-probability exactly equal to 50% [36].

LEMMA [Basic functions]. All three of these gates are predictable by GF2-linear predictors with correctness probability = $3/4$, i.e. unbalance = $1/2$, and that is best possible. For all three, every linear predictor more accurate than a coin toss gets exactly $3/4$ correctness rate. Further, any linear approximant that agrees with Fred (ditto for Maj) on more than 50% of the input configurations, has *balanced error*, that is, when the predictor is wrong, it is equally likely to be a $0 \rightarrow 1$ error as a $1 \rightarrow 0$ error. All these claims are invariant under invertible GF2-linear transformations.

Proof. In all three cases, just x is a $3/4$ -predictor. Exhaustive search shows no linear approximant does better than $3/4$ (in all three cases) and verifies the balanced error claim for Fred and Maj. There are exactly four better-than-a-coin-toss linear predictors for $\text{Fred}(x, y, z)$, namely $x, y, x \oplus z$, and $y \oplus z$; and also exactly four such predictors, namely x, y, z , and $\overline{x \oplus y \oplus z}$, for $\text{Maj}(x, y, z)$; and also exactly 4 predictors, namely $x, y, 0$, and $\overline{x \oplus y}$, for $x \wedge y$. All achieve correctness fraction $3/4$, i.e. unbalance = $1/2$.

Q.E.D.

REMARK [“Differentials”]. The two-input logic gates $x \wedge y$ and $x \vee y$ are “immune to differential analysis” in the sense that, if the 2-bit input word is XORed with some nonzero two bit word Δ , the effect on the output bit is utterly unpredictable from Δ , i.e. it has exactly 50% probability of flipping (assuming all 4 input configurations are equally likely). But that property is *not* enjoyed by Fred, Maj, and GF2-linear functions such as $x \oplus y$: changing all three Maj inputs is guaranteed to flip the output, while changing both x and y is guaranteed to flip $\text{Fred}(x, y, z)$ and not flip $x \oplus y$.

REMARK [Fred versus Maj]. Fred is preferable over Maj because it is easier to compute (3 operations versus 4). However, Fred has the disadvantage for some purposes that, if the z input bit is held fixed, $\text{Fred}(x, y, z)$ is a linear function of the other two inputs.¹⁸

Here is a useful way to compute an **arbitrary GF2-linear function** of many bits. Suppose the bits you are interested in are the bits in words r_1, r_2, \dots, r_k at which the masks m_1, m_2, \dots, m_k respectively have 1 bits. We want to compute F ,

¹⁸It is important not to employ nonlinearity only in a bilinear way, e.g. such that for a fixed key the ciphertext is a GF2-linear function of the plaintext. Such a cryptosystem would be trivial to break.

the XOR of all these bits. Proceed thus. First compute

$$x = (r_1 \wedge m_1) \oplus (r_2 \wedge m_2) \oplus \dots \oplus (r_k \wedge m_k) \quad (11)$$

and then perform

for $n = 1$ to $\log_2 W$ **do** $x \leftarrow \text{ROT}(x, 2^{n-1}) \oplus x$; **od**;

(we assume each word is W bits long and W is a power of 2). The final binary word x will consist of W copies of the same bit, and that bit is the desired value F .

REMARK [Combining Sboxes with Sboxes does not necessarily work]. If two Sboxes (on disjoint inputs) are combined GF2-linearly, the unbalances multiply, so that (if you keep going) you can build an n -input Sbox, n large, with exponentially small unbalance. But if one instead combines Sboxes *using* a good nonlinear Sbox to do the combining, the performance can be far worse, only yielding power-law unbalance for n large.

6 How to build highly nonlinear Sboxes from constant-time primitives

The maximization problem confronting us is: how to design Sbox functions with maximum resistance to the attacks we’ve mentioned, but which are as simple and fast as possible and use only the few and proud constant-time primitive operations we listed to open §5.

In this section we shall show how to construct Sboxes with provably high nonlinearity (small unbalance) from binary linear error correcting codes. Of particular interest are “cyclic,” “extended cyclic,” and “multicyclic” codes, because they lead to *fast* Sboxes on computers that have “barrel shifter” hardware, and often with no loss of quality because often the best known code parameters happen to be achievable by codes of these types.

6.1 General construction

To construct an Sbox which inputs $2n$ or $3n$ bits, and outputs k bits ($1 \leq k < n$) such that every nonconstant GF2-linear function of these k outputs has unbalance U with $0 \leq U \leq 2^{-d}$, with respect to any GF2-linear combination of the inputs, proceed as follows.

1. Compute n “intermediate bits” by taking the AND and/or OR of pairs of input bits (or Fredkins and/or Maj’s of triples of input bits, if there are $3n$ inputs).
2. Compute k GF2-linear combinations of these n bits, namely the ones specified by the rows of a $k \times n$ Boolean “generator matrix” for an $[n, k, d]$ binary linear code.
3. These will be the k Sbox outputs.

This construction (using ANDing at the first stage) runs in $n + (d - 1)k$ bit operations, each a 2-input logic gate, if each row of the generator matrix achieves the minimum weight d (otherwise somewhat more logic gates will be required). These

gates may be arranged so that the number of gate-delays between any input and any output is $\leq 1 + \lceil \log_2(d) \rceil$.

Why this works. Observe that any nonconstant GF2-linear function of the k output bits is a GF2-linear combination of the n intermediate bits *with weight (number of bits involved) always at least d* . Each intermediate bit has unbalance = $1/2$, and all intermediate bits are independent, which in view of §2's piling up lemma forces unbalance $\leq 2^{-d}$.

Q.E.D.

REMARK [“Differentials”]. This construction (assuming we employ AND or OR gates at the initial layer) is “immune to differential analysis” in the sense that, if the $2n$ -bit input word is XORed with some nonzero $2n$ -bit word Δ , the effect on the k output bits is utterly unpredictable from Δ , i.e. all 2^k possibilities are exactly equally likely for the output XOR-difference.¹⁹

REMARK [“Avalanching” and the dual code]. If a random generator matrix for the code is used, then this Sbox will usually do $\approx n + kn/2$ bit-operations, and changing a single input bit will with probability $1/2$ cause usually $\approx k/2$ of the k output bits to change, but with probability $1/2$ will change nothing. (The “probability $1/2$ ” is the probability that the intermediate bit changes, assuming all the other input bits are random.) If a low-weight generator matrix is used then we save work (only $\approx n + dk$ bit-operations) but at the cost of reducing the avalanching: now changing a single input bit will with probability $1/2$ cause usually $\approx dk/(2n)$ of the k output bits to change. For some kinds of computation-method, e.g. if based on *wordwide* operations (*not* bit by bit), this work-savings actually does not exist in which case the former choice is preferable. However, when the work savings *does* exist it is best to grab it because you'll get more avalanching with less work by using the cheaper Sbox a few more times.

The word “usually” in the last paragraph is unfortunate; we would prefer guarantees. That can be got by using a form of the generator matrix which has at least B one-bits in each column; then changing a single intermediate bit *always* will change at least B output bits. That kind of guarantee often happens effortlessly if we are using, e.g. double-circulant generator matrices. Further, if we change $< d^\perp$ intermediate bits, where d^\perp is the minimum Hamming distance of the *dual* code (§10), then no matter which such intermediate-bit-subset is selected, the output will *always* change. For this reason it is desirable to choose codes **whose distance and dual distance both are large**. That happens effortlessly if we use a “formally self dual” rate- $1/2$ code, for example any “nonsingular pure double circulant” code.

6.2 Linear time secure encryption theorem

THEOREM [Linear time secure encryption].

Choose an $\epsilon > 0$. Define C_m to be the least positive root of $H_2(C_m) = 1/m$ where $H_2(x)$ from EQ 6 is the binary entropy function;

$$C_2 = 0.1100278644\dots, \quad C_3 = 0.061490470\dots, \quad \text{and} \\ C_4 = 0.04169269\dots \quad (12)$$

Then there exists an infinite set S of numbers n , such that there is an Sbox with $4n$ input bits and n output bits such that every nonconstant GF2-linear function of these n outputs has unbalance U with $0 \leq U \leq 2^{-d}$ with respect to any GF2-linear combination of the inputs, where $d \geq (2C - \epsilon)n$. The sets S , constants C , and amounts of computation needed to use these Sboxes are:

1. We may take $S = \{\text{all large-enough multiples of } 4\}$, and $C = C_2$ and then if at least some self-dual codes from [48] may be generated by words of asymptotically minimum weight, then the computation takes $\leq (2C_2 + \epsilon)n^2$ bit operations; without needing any assumption about weights, $n^2 + O(n)$ bit operations suffice.
2. We may take $S = \{\text{all sufficiently large Artin primes}\}$, and $C = C_2$ and then a circuit exists that implements the Sbox using $T(n)$ bit-operations where $T(n)$ is bounded for $n < 100$ and for larger n we may bound $T(n)$ via a recurrence $T(n) = O(n \log n)T(\log_2 n)$.
3. We may take $S = \{\text{all sufficiently large numbers}\}$, and $C = C_2$ and then under the *assumption* that matrix products (in GF2 arithmetic) of $O(n)$ different matrices that each differ from the identity matrix in $O(1)$ entries, yield some $2n \times 2n$ matrix “random enough” so that its lower half generates a code obeying Pierce's theorem [57], then $O(n)$ bit-operations suffice for an Sbox use.
4. We may take $S = \{\text{a certain sequence with bounded gap lengths}\}$, and $C = C_3/6$ (actually, more strongly, one may take $C = 0.015$) and then a polynomial-time constructible family of circuits for all these Sboxes exists. Each circuit implements its Sbox using $\leq \kappa n$ bit-operations for some constant κ .

If we instead ask for Sboxes with $8n$ input bits then this is still true (now with $C = C_5^2/540$) but *also* we can make the maximum signal-delay for a bit to pass through all the logic gates in the circuit be $O(\log n)$. Also for Sboxes with $8n$ input bits we can get $S = \{(2^m - 1)2m\}_{m=1,\dots,\infty}$ with $C = C_1/2$ with *double circulant* codes, with polynomial(n) expected construction time. These circuits can be implemented with signal-delay $O(\log n)$, or with $O(T(n))$ total logic gates.

We may use this Sbox R times successively (as we will describe in §7) to build an R -round cryptosystem, $R \geq 5$ (recommend²⁰ $R \geq 10$), which encrypts $5n$ bits using a $(4nR+6n)$ -bit enlarged key and achieves security level $\geq 2^{2d}$ against linear cryptanalytic attacks.

PROOF. Before we begin the proof, we first summarize some known existence results for rate- $1/2$ binary linear codes. Pierce [57] following Gilbert and Varshamov, showed that for all sufficiently large n , a random $[2n, n]$ binary linear code has minimum Hamming distance d satisfying $C_2 - \epsilon < d/n < C_2 + \epsilon$ for any fixed $\epsilon > 0$ with probability $\rightarrow 1$ as $n \rightarrow \infty$. MacWilliams, Sloane, and Thompson [48][55] showed that for all sufficiently large n , a $[8n, 4n, d]$ *doubly-even self-dual* binary linear code exists with $C_2 - \epsilon \leq d/(8n) \leq \epsilon + 1/6$. Chen, Peterson, and Weldon [15] showed that if n is an “Artin prime” (i.e. prime such that 2 is a generator of the multiplicative group of integers mod n) then a random

¹⁹See the earlier remark on “Differentials” in §5.

²⁰With the $8n$ -input Sbox described in the final claim, we need $R \geq 9$ with $R \geq 18$ recommended.

doubly-nonsingular double circulant binary linear $[2n, n]$ code achieves $d/n \geq C_2 - \epsilon$ with probability $\rightarrow 1$. This latter result suffers from the slight flaw that it is a famous open problem in number theory whether there are an infinite number of Artin primes. For practical purposes this flaw is irrelevant because, e.g. 100-digit Artin primes may readily be produced, but for those who care, similar results but not depending on any number-theoretic conjectures were produced by Kasami [41] and Chepyzhov [18]. All of the above were *nonconstructive* existence results – i.e. the algorithms they imply for constructing and verifying the codes that these theorems assure exist in great multitudes, are computationally *expensive*. Constructive existence results – with *cheap* code-constructions and verifications – were found by Sipser and Spielman [65] by using “expander codes.” For example, theorem 19 of [65], specialized to the rate-1/2 case, states that there exists a polynomial-time constructible infinite family of $[2n, n, d]$ “expander” codes where $C_4^2 - \epsilon < d/(2n)$. An improved version of their ideas by Barg & Zemor [2] gives (their theorem 14 specialized to rate-1/2) the same result but now with $d/(2n) > 0.026$ (over ten times larger!). Justesen in a famous 1972 paper [40] showed that $[4n, n, d]$ double-circulant codes (but note the $2n \times 4n$ double-circulant generator matrix has only half of full rank) exist for each n of form $n = (2^m - 1)2m$ with $d/(4n) \geq C_2/2$, and the generator matrix is constructible in expected time polynomial in n .

Finally, Guruswami & Indyk [34] found (a weakened form of their theorem 5 specialized to the rate-1/2 case) that there exists a polynomial-time constructible infinite family of $[2n, n, d]$ binary codes, each of which can be encoded and decoded²¹ in $O(n)$ steps, where $C_3/6 - \epsilon < d/(2n)$.

Spielman also gave [66] linear-time encoding algorithms (however, these are instead for $[4n, n, d]$ codes with $d/(4n) \geq C_5^2/2160$; these codes also form an polynomial time constructible infinite family) which may be implemented in *parallel* in $O(\log n)$ stages still with only $O(n)$ bit operations total.

The theorem then follows from using the above facts about codes in our above Sbox construction, with the following notes:

1. Multiplying a binary n -bit vector by a Boolean circulant matrix may be done using FFTs or other fast circular convolution algorithms in $O(n \log n)$ arithmetic operations on $O(\log n)$ -bit-long numbers. (These “numbers” can be complex with arithmetic being approximate but carried out with enough decimal places that the answer at the end comes out right, or elements of a suitable finite field with exact arithmetic.) These multiplications in turn may be done using fast convolution algorithms.
2. The linear-time encoding algorithms of [34] (or of the earlier [66]) consist of a sequence of matrix-vector multiplications using bit-vectors and Boolean matrices. We start with an n -bit vector and end with a $2n$ -bit vector. Because the matrices only differ from the identity matrix on sparse sets, the total number of bit-operations

in all these matrix-vector multiplications is $O(n)$. The net effect is equivalent to a single matrix-vector multiplication of a $2n \times n$ Boolean (product) matrix M by a n -bit vector, albeit just doing that directly would take superlinear time. For our purposes in constructing an Sbox, we are not interested in encoding (and especially not interested in decoding²²). What we want is to multiply M^T by a $2n$ -bit vector to get an n -bit output vector. But this may be accomplished by multiplying the vector successively by all the individual matrices in the product defining M , albeit taking the factors in reverse order and transposed.

3. For the parallel log-time claim we need that the number of multiplicand matrices is $O(\log n)$ and that each matrix-vector multiplication may be done in $O(1)$ parallel time because the number of nonzero entries in each row and column is bounded.

The proof of the final claim about cryptosystems (not just about Sboxes) will be deferred to §7.

Q.E.D.

This theorem seems the final word at least as far as asymptotic behavior is concerned and aside from the precise values of the constants – obviously linear time is best possible, security $2^{O(n)}$ is then best possible, and logarithmic parallel time also is best possible for any Boolean circuit made of 2-input logic gates whose output depends on all n of its inputs.

However, real-world cryptographers are highly concerned about *nonasymptotic* behavior for highly specific input sizes, and they care about keeping constant factors *small*, and programming it on real machines whereupon what matters is not the number of *bit-operations*, but rather the number of *machine instructions*. Most computers can perform word-wide Boolean operations and cyclic shifting of entire words in a single instruction, for, e.g. 32-bit-wide words. That leads us to consider...

6.3 Cyclic codes and their friends

Let us now consider cyclic, extended cyclic, and multicyclic binary linear codes. A set of n -bit words is a “cyclic code” if every cyclic shift of every codeword is also a codeword.

There is an elegant theory [47][56][19][42][17] which unfortunately has never been stated entirely in one place, that makes it possible to find the best cyclic codes much more quickly than a naive exhaustive search. To recapitulate its essentials, codewords correspond to their “generator polynomials” (e.g. $011001 \leftrightarrow x + x^2 + x^5$), and cyclic shifting of an n -bit codeword corresponds to multiplying its polynomial by x modulo $x^n - 1 \pmod{2}$. A cyclic linear code is an “ideal” of polynomials modulo $x^n - 1 \pmod{2}$ and may be proven to consist exactly of multiples of a *single* “generator polynomial” (“principal ideal”). Then the dimension k of the cyclic code generated by $P(x)$ is $k \geq n - \deg(P)$ with equality for the minimum-degree generator. To find all equivalence classes of cyclic codes with n bits it suffices to investigate only the

²¹Actually their decoding claim only pertains to errors with few-enough erroneous bits – we cannot decode efficiently out to the full code-distance, only to the “design distance” – but that shall be irrelevant for us.

²²The fact that Spielman et al have efficient decoding algorithms, improving versus naive exponential-time decoding algorithms, is irrelevant to us. All we need is their *fast* encoding algorithms. Much earlier work – in the 1970s – by Dobrushin et al showed the existence of good code families with linear time encoders.

generators that are *factors* (mod 2) of $x^n - 1$ (a tremendous reduction of the search space). Further, it is possible to avoid needing to factor this polynomial by using the theorem that every length- n cyclic code is generated by²³ exactly one *idempotent* polynomial $p(x)$, i.e. one obeying $p^2 = p \pmod 2$, and the theorem that every idempotent is of the form $\sum_{j \in S} x^j$ where the set S is closed under mod n multiplication by 2. All possible such subsets S of $\{0, 1, 2, \dots, n-1\}$ are readily exhaustively enumerated and they are in 1-to-1 correspondence with the idempotents and hence with the cyclic codes. Further, given a generator it is possible to find a good lower bound (the “BCH bound” [47][56][60]) on the min-distance of the cyclic code that it generates. The BCH bound may be evaluated very quickly from the roots in $\text{GF}(2^m)$, where $m > 1$ is chosen so that n divides $2^m - 1$, of the generator polynomial.²⁴

It is also possible to find low-weight codewords (i.e. good upper bounds) more quickly than a naive exhaustive search (see the lemma in [19]). Using these techniques, every binary linear code record that arises from a length- n cyclic code with $n \leq 130$ has been found [14][58][63], although the reader is warned that (irritatingly) these authors did not provide the codes in fully explicit form and it often is not trivial²⁵ to get them.

A particularly useful kind of cyclic code for us are the “binary narrow sense BCH codes” (BNSBCH) [47][56][60]. These are length- n cyclic codes ($n \geq 3$ odd) specifically designed to have a large BCH lower bound ℓ (which, it turns out automatically without loss of generality *also* is odd) on their minimum distance. Let $m > 1$ be the minimum integer such that $2^m - 1$ is divisible by n . Let w be a primitive root of unity in the finite field $\text{GF}(2^m)$ with 2^m elements i.e. $z^u \neq 1$ if $1 \leq u < 2^m - 1$ but $z^u = 1$ if $u = 2^m - 1$. See [76][77] for tables of primitive roots. Then $y = z^{(2^m-1)/n}$ is a primitive n th root of unity. Then the polynomial $p(x)$ whose roots are y^{k2^j} for k odd with $1 \leq k \leq \ell - 2$ and integer $j \geq 0$ (note: this set is not intended to contain any repeated roots; so please *eliminate* all duplicates before using it) automatically has coefficients in $\text{GF}(2^m)$ which in fact, magically, lie in $\text{GF}(2)$. *This polynomial generates the BNSBCH code of odd length n , dimension $n - \deg(p)$, and odd “designed distance” ℓ .* BCH codes are rather annoying to construct due because you need to do polynomial arithmetic over, and have primitive elements for, (sometimes large) finite fields. Fortunately

1. The most important BNSBCH codes are the ones with length $n = 2^m - 1$ (called “primitive” BCH codes) for which the finite field is the smallest and the construction task is the easiest. Table 9.1 of [56] gives primitive BNSBCH code parameters (with designed distances; no generators are given) for all $m \leq 10$.

2. A large table of BNSBCH codes will be available electronically with the electronic version of this paper.²⁶

The “extension” of a linear $[n, k, d]$ code with d odd is the $[n+1, k, d+1]$ code that arises by adding an overall parity check bit.

A set of n -bit words is a “quasicyclic code with skip s ” if every cyclic shift by s steps of every codeword is also a codeword. For our purposes, quasicyclic codes are best reinterpreted as “multicyclic codes” with s different cycles. We shall be particularly interested in “multicirculant” codes whose $k \times n$ generator matrix consists of $n/k \geq 2$ different $k \times k$ circulant matrices, and we shall be particularly interested in the “multiply nonsingular” case when all of these circulants are invertible over $\text{GF}2$.

6.4 Sboxes from extended cyclic codes

Because most (all?) computers have even wordlengths while cyclic codes with even wordlengths are poor, it is best to focus on *extended* cyclic codes.²⁷

A good Sbox which inputs two W -bit words A, B and outputs k bits may be built from an $[n, k, d]$ cyclic code with $n = W - 1$ odd, $1 \leq k < n$, as follows. First, compute intermediate bits via $y = A \wedge B$ where \wedge denotes worldwide bitwise ANDing. (One may also employ OR, or with three input words A, B, C one could employ Fred or Maj, and it is permissible to XOR y with any constant word before using it.) Let b be the W th bit of y . Then compute²⁸

$$M = y \oplus \text{ROT}'(y, g_1) \oplus \text{ROT}'(y, g_2) \oplus \dots \oplus \text{ROT}'(y, g_j) \quad (13)$$

Here $\text{ROT}'(s, v)$ denotes the result of cyclically shifting the $W - 1$ bits of s by v spots in a cycle of length $W - 1$ (the prime indicates the *exclusion* of the W th bit b) and the g_s are integer constants arising as the exponents in the generator polynomial

$$1 + x^{g_1} + x^{g_2} + \dots + x^{g_j} \quad (14)$$

of the cyclic code modulo $x^n - 1$, where j is even. Now the k output bits are the first k bits of M , *after* each is XORed with b .

This Sbox will achieve unbalance $U = 2^{-d-1}$.

EXAMPLE. Take the [255, 71, 59] cyclic BCH code (generator in our table 9.2), which [43][1] actually has distance 61. Extend it with a parity bit to yield a [256, 71, 62] code. That yields a 512-input 71-output Sbox with all unbalances $U \leq 2^{-62}$. It contains 4587 logic gates assuming a min-weight generator exists.²⁹ There are ≤ 7 gate delays between each input and each output. Also this Sbox may be implemented in software doing W gate operations at once on a machine

²³Adding or multiplying two idempotent polynomials mod 2 yields another.

²⁴Further, BCH-like bounds superior to BCH’s original bound but more complicated, are available [71]. These seem to have remained largely unused by the people who keep track of coding theory records. That is unfortunate because probably a large number of new-record distance bounds would result if they were used.

²⁵The work needed is tiny compared to the work these authors did, but generally too large to do without a computer, and it’s a lot of programming.

²⁶<http://math.temple.edu/~wds/homepage/works.html> #100.

²⁷ One could also consider “shortened” and “punctured” cyclic codes. For example, the [257, 241, 114] cyclic 16th-power residue code (generator polynomial= $x^{16} + x^{13} + x^{12} + x^{10} + x^8 + x^6 + x^4 + x^3 + 1$) has a dual which is a [257, 16, 114] cyclic code. From it we get [256, 16, 113] and [256, 15, 114] codes. One could also take the subcode of the cyclic [257, 129, d] quadratic-residue code that is 0 in the omitted coordinate, to get a [256, 128, d] code (here d is not known but $17 \leq d \leq 44$).

²⁸ \oplus denotes worldwide XOR.

²⁹Long-enough BCH codes are *always* generated by a min-weight word [44].

with W -bit-wide words. The first 64 outputs of this Sbox (only need 4709 logic gates for that) can be used R times successively (as we will describe in §7) to build an R -round cryptosystem, $R \geq 9$ (recommend $R \geq 18$ or 27), which encrypts 576 bits using a $(512R + 640)$ -bit enlarged key and achieving security level $\geq 2^{124}$ against linear cryptanalytic attacks.

EXAMPLE. Take the [255, 65, 63] Tjhai-Tomlinson cyclic code [69] (generator in our table 9.2). Extend it with a parity bit to yield a [256, 65, 64] code. That yields a 512-input 65-output Sbox with all unbalances $U \leq 2^{-64}$. Ignore one of the outputs so we only have a 64-output Sbox. Then it contains 4288 logic gates assuming a min-weight generator exists and then there are ≤ 7 gate delays between each input and each output. Also this Sbox may be implemented in software doing W gate operations at once on a machine with W -bit-wide words. The first 64 outputs of this Sbox can be used R times successively (as we will describe in §7) to build an R -round cryptosystem, $R \geq 9$ (recommend $R \geq 18$ or 27), which encrypts 576 bits using a $(512R + 640)$ -bit enlarged key and achieving security level $\geq 2^{128}$ against linear cryptanalytic attacks.

EXAMPLE. Take the [455, 152, 69] cyclic BCH code defined by the generator word (given as 76 hexadecimal digits)

$$\begin{aligned} &87E53AC6 160391A1 FD365610 6DA866B5 C12B864D \\ &CC23E413 00EEA8B9 5267D182 A185B835 35DD. \end{aligned} \quad (15)$$

Extend it with a parity bit to get a [456, 152, 70] code.³⁰ This yields a 456-input 152-output Sbox with all unbalances $U \leq 2^{-70}$. It contains 10944 logic gates assuming a min-weight generator exists. There are ≤ 8 gate delays between each input and each output. Also this Sbox may be implemented in software doing W gate operations at once on a machine with W -bit-wide words. The outputs of this Sbox can be used R times successively (as we will describe in §7) to build an R -round cryptosystem, $R \geq 7$ (recommend $R \geq 14$ or 21), which encrypts 1064 bits using a $(912R + 1216)$ -bit enlarged key and achieves security level $\geq 2^{140}$ against linear cryptanalytic attacks.

EXAMPLE. Take a [511, 175, 93] cyclic BCH code, with generator

$$\begin{aligned} &185749DE CF747EFF 4749F011 8BE9914C OADC7233 \\ &3B272FC6 EEB7F0FB 7604D328 59457C7E C54C7B2E \\ &86905. \end{aligned} \quad (16)$$

This actually [1][43] has distance 95 (exceeding its designed distance by 2). Extend it with a parity bit to yield a [512, 175, 96] code. This yields a 1024-input 175-output Sbox with all unbalances $U \leq 2^{-94}$. It contains 16787 logic gates assuming a min-weight generator exists. There are ≤ 8 gate delays between each input and each output. Also this Sbox may be implemented in software doing W gate operations at once on a machine with W -bit-wide words. The first 171 outputs of this Sbox can be used R times successively (as we will describe in §7) to build an R -round cryptosystem, $R \geq 7$ (recommend $R \geq 14$ or 21), which encrypts 1195 bits using a $(1024R + 1366)$ -bit enlarged key and achieving security level $\geq 2^{192}$ against linear cryptanalytic attacks.

³⁰The distances 69 and 70 here are only lower bounds on the true distance d ; if d is larger then better security levels will result than what we shall say.

EXAMPLE. Take a [511, 103, 123] cyclic BCH code, with generator

$$\begin{aligned} &1F1102FB 8DEEAF8D B9AD06DA 49CBD838 3CA3DB40 \\ &B8D5622E BCF7183B 6EF3A918 4B19732C DE89FB14 \\ &5901082F 93CFADF1 C1217B5. \end{aligned} \quad (17)$$

$$(18)$$

This actually [1] has distance 127, exceeding its designed distance by 4. Extend it with a parity bit to yield a [512, 103, 128] code. This yields a 1024-input 130-output Sbox with all unbalances $U \leq 2^{-128}$. It contains 17022 logic gates assuming a min-weight generator exists. There are ≤ 8 gate delays between each input and each output. Also this Sbox may be implemented in software doing W gate operations at once on a machine with W -bit-wide words. The outputs of this Sbox can be used R times successively (as we will describe in §7) to build an R -round cryptosystem, $R \geq 11$ (recommend $R \geq 22$ or 33), which encrypts 1127 bits using a $(1024R + 1230)$ -bit enlarged key and achieving security level $\geq 2^{256}$ against linear cryptanalytic attacks.

6.5 Sboxes from multicyclic codes

We define an Sbox called “MM.”

MM Definition. MM first computes $t = A \vee B$, $x = A \wedge B$, $y = C \wedge D$, $z = C \vee D$, and then

$$\begin{aligned} &\text{MM}(A, B, C, D) = \\ &x \oplus \text{ROT}(x, g_1) \oplus \text{ROT}(x, g_2) \oplus \cdots \oplus \text{ROT}(x, g_k) \\ &\oplus y \oplus \text{ROT}(y, h_1) \oplus \text{ROT}(y, h_2) \oplus \cdots \oplus \text{ROT}(y, h_j) \end{aligned} \quad (19)$$

except that in this formula any subset of the ys may be replaced by zs and also any subset of the xs may be replaced by ts . Here $\text{ROT}(s, v)$ denotes the result of cyclically shifting the W bits of s by v spots and the gs and hs are integer constants with $0 < g_1 < g_2 < \cdots < g_k < W$ and $0 < h_1 < h_2 < \cdots < h_j < W$.

THEOREM [MM Properties].

Let $d \stackrel{\text{def}}{=} j + k + 2$. Suppose the gs and hs are chosen so that a $[2W, W, d]$ linear binary code (i.e. wordlength $2W$, dimension W , and minimum Hamming distance d) is generated by the $W \times 2W$ matrix consisting of a $W \times W$ circulant with 1s in its first row in positions given by $1, g_1, g_2, \dots, g_k$ and a second $W \times W$ circulant with 1s in its first row in positions given by $1, h_1, h_2, \dots, h_j$. Also suppose that some subset of the ys (consisting of half of them, “half” meaning more precisely either $\lfloor j/2 \rfloor$ or $\lceil j/2 \rceil$) are replaced by zs and also some subset (also half) of the xs by ts . Finally suppose that the patterns of these replacements are such that any of the 4 thus-defined subsets (perhaps cyclically shifted mod W) intersects any other, (no matter which cyclic shifts mod W are chosen, so long as they aren’t the same) in such a way that their symmetric-difference set (elements in exactly one of the two intersecting sets) always has cardinality $\geq c_{ab}$ where the subscripts a and b are each either “ g ” or “ h ” and indicate from what kind of generator the two subsets came from.

Then MM has these properties:

1. $MM(0, 0, 0, 0) = 0$.
2. [Time-reversal symmetry].
 $MM(A, B, C, D) = MM(B, A, C, D) = MM(A, B, D, C)$.
3. [Bit counts]. MM is a function of $4W$ input bits that produces W output bits.
4. But each output bit depends on exactly $2d$ input bits.
5. [Nonlinearity]. Let $L(A, B, C, D)$ be a GF2-linear function of the same $4W$ input bits. Any GF2-linear combination of MM's W output bits, is a Boolean-valued function of its $4W$ input bits which disagrees with L in at least a fraction $1/2 - 1/2^{d+1}$ of the 2^{4W} input configurations. (Indeed this will always be the *exact* number of disagreements or agreements.)
6. [Optimality of nonlinearity]. The preceding two claims are best possible; i.e. for *any* Boolean function F of $2d$ input bits, there exists a GF2-linear function L which disagrees with F on exactly a fraction $\leq 1/2 - 1/2^{d+1}$ of their input configurations.
7. [Everything involves everything]. If the underlying double-circulant binary linear code is *doubly nonsingular* (that is, both circulants are invertible matrices over GF2) then every nonconstant GF2-linear function of MM's output bits, involves (when expressed as an XOR of ANDs of pairs of bits) bits from *both* A,B *and* from C,D.
8. [Expansion I]. Changing any single bit of A or of B causes at least $\lfloor k/2 \rfloor$ output bits to change.
9. [Expansion II]. Changing any single bit of C or of D causes at least $\lfloor j/2 \rfloor$ output bits to change.
10. [Expansion III]. Changing any two bits of $A \oplus B$ (by changing exactly two input bits) causes at least c_{gg} output bits to change.
11. [Expansion IV]. Changing any two bits of $C \oplus D$ (by changing exactly two input bits) causes at least c_{hh} output bits to change.
12. [Expansion V]. Changing any one bit of $C \oplus D$ and one bit of $A \oplus B$ (by changing exactly two input bits) causes at least c_{gh} output bits to change.

(end of theorem.)

REMARK [Multicyclic codes]. One could also reach even better properties, at the cost of higher complexity, by using multi-circulant (“quasi-cyclic”) codes to build MM functions with more input words. E.g.

$$\begin{aligned}
 MM'(A, B, C, D, E, F) = & \quad (20) \\
 & w \oplus \text{ROT}(w, f_1) \oplus \text{ROT}(w, f_2) \oplus \cdots \oplus \text{ROT}(w, f_k) \\
 & \oplus x \oplus \text{ROT}(x, g_1) \oplus \text{ROT}(x, g_2) \oplus \cdots \oplus \text{ROT}(x, g_k) \\
 & \oplus y \oplus \text{ROT}(y, h_1) \oplus \text{ROT}(y, h_2) \oplus \cdots \oplus \text{ROT}(y, h_j),
 \end{aligned}$$

where $w = E \wedge F$, would be related similarly to *triple*-circulant codes.

REMARK [Balanced Sboxes]. The theorem's function MM, while fine in many ways, is not exactly “balanced.” That traces to the underlying use of the unbalanced nonlinear AND and OR functions in the definitions of t, x, y, z . If you want balance, then instead define

$$x = \text{Fred}(A, B, C), \quad y = \text{Fred}(D, E, F), \quad (21)$$

say, where now MM is a function of *six* words A, B, C, D, E, F . Then every output bit (and every GF2-linear combination

of output bits) will be a balanced nonlinear Boolean function, such that every GF2-linear approximant to it still will have error fraction $\geq 1/2 - 1/2^d$, and further, every GF2-linear approximant that is a better predictor than a fair coin will exhibit *balanced error* as in the lemma in §5.

Proof. Claims 1-3 and 8-12 are immediate consequences of the definitions. Claim 6 is a standard known fact about $2d$ -input Boolean functions (functions meeting the bound are called “bent”) proven by Rothaus [61], in particular see the Parseval equality argument on his first page. To get claim 5, combine these facts:

1. Bent functions still are bent if their inputs and outputs are pre-transformed by any invertible GF2-linear functions.
2. $F \oplus G$ is a bent function if F and G are bent functions of disjoint sets of input bits, i.e. “with *disjoint* inputs, *no* nonlinearity cancels out.”
3. $x \wedge y$ and $x \vee y$ are bent functions of two bits x, y (in fact both are the *same* function up to linears).
4. (Hence by induction) more generally the “vector dot product” $x_1 \wedge y_1 + x_2 \wedge y_2 + \cdots + x_n \wedge y_n$ where any subset of the \wedge s may be replaced by \vee s, is a bent function of its $2n$ input bits.
5. $(x \wedge y) \oplus (x \vee y) = 0$, $(x \vee y) \oplus (x \wedge y) = 0$, and $(x \wedge y) \oplus (x \vee y) = x \oplus y$ are linear functions of their two input bits, i.e. with the *same* inputs “their nonlinearities exactly cancel out.”
6. If a bit x changes, then either $x \vee y$ or $x \wedge y$ has to change.
7. Any linear combination of the W output bits of MM has to be (up to linears) a vector product of two bit-vectors each with $\geq d$ bits, by the definitions of “minimum Hamming distance” and “binary linear code.”

Finally, claim 7 is because any linear function of the output bits which does not involve A,B (say) has to correspond to a codeword zero on the coordinates of the first circulant, which by nonsingularity implies the other half of the coordinates must also be zero, which implies the function must be a constant.

Q.E.D.

REMARK [Even split for best expansion]. Best design is to split the d bits approximately evenly between the two types, i.e. have $j \approx k$, because that tends to maximize the expansion factor for a 1-bit alteration of the input to two *composed* MM() applications (due to the theorem that pq is maximized subject to $p + q = d$, $p > 0$, and $q > 0$ if $p = q$).

EXAMPLE. The following C-language code

```

/*Note ^=XOR, &=AND, |=OR, >>=right shift, <<=left*/
/*ROT(X,Y) rotates 32-bit word x by y bits:*/
uint ROT(uint x, uint y){return (x<<y)|(x>>(32-y));}

uint MM(uint A, uint B, uint C, uint D){
    uint w,x,y,z;
    w = A|B;  x = A&B;  y = C&D;  z = C|D;
    return w ^ ROT(w,5) ^ ROT(w,7) ^ ROT(x,8) ^
           ROT(x,13) ^ ROT(x,30) ^ ROT(x,31) ^ z ^
           ROT(y,5) ^ ROT(z,10) ^ ROT(y,12) ^ ROT(y,30);
}

```

implements a 128-input 32-output Sbox MM with these properties:

1. Each output bit is a “bent” function of exactly 24 input bits, and each GF2-linear combination of output bits disagrees with each GF2-linear Boolean-valued function of the 128 input bits on at least a fraction $1/2 - 1/2^{13}$ of their 2^{128} configurations (unbalance $U = 2^{-12}$)
2. Each GF2-linear combination of output bits is a function of some bits from *both* x and y .
3. Changing any single bit of A or of B causes at least 3 bits to change in the output.
4. Changing any single bit of C or of D causes at least 2 bits to change in the output.
5. Changing any two bits of $A \oplus B$ (by changing 2 input bits) changes at least 4 output bits.
6. Changing any two bits of $C \oplus D$ (by changing 2 input bits) changes at least 2 output bits.
7. Changing both one bit of $C \oplus D$ and one bit of $A \oplus B$ (by changing 2 input bits) causes at least 3 bits to change in the output.
8. Changing any b bits among the 128 inputs, if $1 \leq b \leq 11$, always causes the output word to change.
9. MM is invariant under exchanging $A \leftrightarrow B$ and/or exchanging $C \leftrightarrow D$.
10. MM’s runtime is independent of the data it operates on, so it is immune to timing attacks.

This design was based on the binary linear $[64, 32, 12]$ code with 32×64 generator matrix arising from the two 32×32 Boolean circulants with the following index sets:

$$\{0, 5, 10, 12, 30\} \text{ and } \{0, 5, 7, 8, 13, 30, 31\}. \quad (22)$$

No three members of the 7-set are congruent mod 32 to an arithmetic progression. Any two cyclic shifts of the 5-set mod 32 have Hamming distance ≥ 8 . Any two cyclic shifts of the 7-set mod 32 have Hamming distance ≥ 8 . Any cyclic shift of the 5-set mod 32 has Hamming distance ≥ 8 to any cyclic shift of the 7-set.

7 How to build fast cryptosystems quantifiably resistant to linear cryptanalysis

Cryptosystem designs that simply throw a lot of Sboxes together suffer from the problems that (1) the Sboxes might interact in strange ways including across “round” boundaries, and (2) we can get a tremendous number of “codes of the code.”

The only way I know to overcome these two problems is to design the cryptosystem such that

1. Even if the cryptanalyst is given “for free,” not only plaintext-ciphertext pairs, but in fact *all intermediate results* at each “round boundary,” then *still* linear cryptanalysis is infeasibly hard.³¹

³¹While this approach seems like wasteful overkill that hurts efficiency, I do not know a less-wasteful idea. AES definitely fails this, i.e. a cryptanalyst with plaintext, ciphertext, and intermediate AES data could definitely determine AES keys.

³²The inputs in each n -bit chunk can, of course, be reordered between rounds.

³³There is no reason the partitioning of the Rn bits into n -bit chunks has to be the same in the next cycle.

2. By designing the Sboxes and/or the way in which they are used carefully, the fact that there are a tremendous number of “codes of the code” won’t matter. Essentially, they are all the same code; more precisely they all lead to the same work-lower-bound on $\prod_j U_j^{-2}$.

The simplest design is to make each “round” of the cryptosystem just be one big Sbox. Namely, create an Sbox with $(R - 1)n$ inputs and n outputs. Then to encrypt an Rn -bit plaintext:

1. Initially, XOR the first n input bits with n key bits.
2. Now perform R rounds. The j th round, $1 \leq j \leq R$, is as follows.
 - (a) Make the $(R - 1)n$ inputs of the Sbox be³² $(R - 1)n$ message bits (where we exclude message bits $(j - 1)n$ to $jn - 1$) XORed with $(R - 1)n$ key bits.
 - (b) XOR the n Sbox output bits with the excluded message bits.
3. After those R rounds, the entire message is encrypted. But to play it safe (see §7.1) I would actually recommend doing one or two *more* cycles of R rounds (for $2R$ or $3R$ total).³³ Finally, XOR the entire message with Rn more key bits and output it as the ciphertext.

This is the method that proves the last part of the theorem in §6.2. Here R is a constant; in that theorem $R = 5$ but by use of Fredkin rather than AND gates at the Sbox’s input layer we could make $R = 7$, and by use of $[4n, n, d]$ rather than $[2n, n, d]$ codes in the Sbox design we could make $R = 9$. $(R - 1)n$ key bits are consumed each round, plus the initial/final “wrapper” stages consume in net $(R + 1)n$ more key bits.

Note that we still get the same $\prod U$ bound no matter what approximating circuit is used. That is, *every nonvoid approximate GF2 linear relation between Sbox inputs (key and plaintext) and Sbox outputs always involves at least d Sbox-input Fredkin or AND gate outputs; and hence (considering the lemma in §5 and §2’s piling up lemma) no matter what linear approximations are used for each Fredkin or AND gate – and this include the possibility of using several for each gate depending on which output bit we want to approximate – any linear approximating circuit must involve noise gates with unbalances U_j such that $\prod_j U_j^{-2} \geq 2^{2d}$.*

This completes the proof of the theorem in §6.2, but: a skeptic might ask “why must we approximate the AND gates at the beginning and then combine our approximations GF2-linearly? Might there be a better approximation circuit?” To answer, the entire Sbox construction in §6.2 is, up to linear equivalence, simply n independent AND gates. Any linear circuit attempting to approximate those AND gates which does not consist of n independent official AND-approximator circuits *must* yield the the same or worse approximation to each of their output bits, and indeed each AND-gate output not approximated by one of the four 3/4-approximators (or their negations, i.e. 1/4-approximators) must have *exactly*

50% error by the lemma in §5. Now any linear circuit combining those approximations *must* have exactly 50% error on any Sbox output depending on any such unapproximated bit. Any bit with exactly 50% error has unbalance 0, i.e. causes $\prod_j U_j^{-2} = \infty$.

Q.E.D.

REMARK [Algebraic structure]. With the Sbox construction of §6.1, anybody attempting to deduce the key bits from some plaintext-ciphertext pairs (or to deduce the plaintext bits from some key-ciphertext pairs) will be faced with solving a system of simultaneous quadratic equations over GF2. Although solving systems of *linear* equations is easy (polynomial time) solving systems of *quadratic* equations over the reals is polynomially equivalent in difficulty to solving an arbitrary system of multivariate polynomial equations (“ETR-complete”) as you can readily see by considering adding extra variables for the purpose of reducing polynomial degrees down to 2. Similarly, Cook’s famous SAT problem concerning arbitrary boolean circuits is readily re-expressed as a GF2 quadratic equation system solution-existence problem, hence the latter is NP-complete. So this “algebraic structure” seems really not exploitable “structure” at all.

There is another, **more complicated cryptosystem design** that now uses, not one big Sbox, but rather many small Sboxes (say, each producing one machine word worth of output bits and inputting z machine words), each cryptosystem round. The idea is simple. To combine n such small identical Sboxes to produce one big Sbox, apply them all to zn disjoint data words to produce n disjoint words. Say the word size is W bits. Now use an $[n, k, d]$ error correcting code as before to linearly combine the most significant bits of each word to get k bits out. Then do the same for the next most significant bit of each word, etc. The net effect is “one big Sbox” that inputs zWn bits and outputs Wk bits. The unbalance of any GF2-linear combination of its output bits is $U \leq u^d$ where u upperbounds the unbalances of the output bits of the small Sboxes. Now this big Sbox can be used as before to create a cryptosystem.

EXAMPLE. We use the C-code for MM (from the previous example) as the small Sbox. It inputs four 32-bit words and outputs one. We combine these with the [24, 12, 8] extended Golay code (here regarded as a double circulant code with the first circulant being $I_{12 \times 12}$ and the second having first row 101111011000) to produce a “big Sbox” which inputs 96 words $x[0..95]$ and outputs 12 words $z[0..11]$, where each word is 32 bits long:

```
BigSbox(uint x[96], uint z[12]){
    int i,j; uint y[32];
    for(i=0; i<24; i++){/*Intermediate words y[0..23]:*/
        j = i*4;
        y[i] = MM(x[j], x[j+1], x[j+2], x[j+3]);
    }
    for(i=12; i<20; i++){ y[i+12]=y[i]; }/*extra copies of B
    for(i=0; i<12; i++){/*Golay combinations z[0..11]:*/
        z[i] = y[i] ^ y[i+12] ^ y[i+14] ^ y[i+15] ^
            y[i+16] ^ y[i+17] ^ y[i+18] ^ y[i+20];
    }
}
```

³⁴That is, the interconnection pattern is, roughly speaking, random; the circuit once designed is totally deterministic.

The resulting big Sbox has unbalance $U \leq 2^{-96}$ where $96 = 8 \times 12$. It now can be used in the usual manner to produce an R -round cryptosystem ($R \geq 9$ with $R \geq 18$ or 27 recommended) encrypting $108 = 96 + 12$ words, i.e. $108 \times 32 = 3456$ bits, with security $\geq 2^{192}$. It consumes $3072R + 3840$ key bits.

7.1 Are these cryptosystems really secure?

We’ve shown how to use error-correcting codes to build cryptosystems provably secure against our form of linear cryptanalysis, “differential” cryptanalysis, and Bernstein’s timing attack. But does that mean our constructions are secure against *all* attacks?

No! In fact, rather annoyingly, we can prove that our cryptosystems are *not* secure against a new kind of attack, the *bounded polynomial-degree attack* invented specifically for them (but it also kills a fairly wide class of cryptosystems).

THEOREM [Insecurity against bounded polynomial-degree attack.] *Every* secret key cryptosystem which outputs ciphertext bits that are (multivariate) polynomial functions (over GF2) of the n plaintext and key bits *with bounded polynomial degree*, can be cracked in polynomial(n) time.

PROOF SKETCH. There are $n^{O(1)}$ possible monomials of bounded degree. The ciphertext bits are GF2-linear combinations of them. From $(2n)^{O(1)}$ pc-pairs and GF2-linear algebra solve for the values of all the monomials. When those all are known we (effectively even if not actually) know the key. Q.E.D.

This attack devastates *all* our cryptosystem-constructions above with any bounded number of rounds – but if the number of rounds is increased to order $\log n$ then this theorem’s attack takes exponential(n) time, i.e. is no longer a concern. That is because the polynomial degree doubles (and the number of monomials involves roughly squares) each round, so after order $\log n$ rounds we have the maximum possible degree n and a good fraction of all 2^n possible monomials should be present – too many for any naive attack of the sort on the Theorem to handle in subexponential time. *However*, this would destroy our vaunted “linear time secure cryptography” theorem, converting it to an “ $O(N \log N)$ -time secure cryptography theorem”! (In all our concrete cryptosystem-design examples in this paper, using our higher round-count recommendations always suffices to stop the bounded-polynomial-degree attack.)

Can we salvage linear-time cryptography from this attack? I believe the answer is “yes.” Here is the fix.

Recall that in our cryptosystem constructions, we in each round partitioned the bits into two linear-size subsets, and then XORed the smaller subset with Sbox outputs (where the Sbox inputs were the larger bit-set). The *fix* is instead to partition into *three* linear-size subsets A , B , and C .

Say B has b bits. By using a Fred or Maj gate to input 3 bits of B and output one (which we then XOR into a fourth bit of B) we alter B . Perform $O(b)$ random³⁴ alterations of this kind and then delete a constant fraction of B ’s bits. Continue on in this way with the new smaller B -set until its cardinality is reduced to, say, $b^{2/3}$. The result is that b bits have been converted by a circuit of depth $O(\log b)$ in total work of order

$O(b)$ bit operations, into $b^{2/3}$ bits which are highly nonlinear functions of the original bits, each described by a multivariate polynomial with polynomial degree of order b and involving order 2^b monomials in its monomial expansion.³⁵

Each round, we XOR the bits of A with Sbox outputs (where the Sbox inputs are the bits of C) and also GF2-linearly combine in the $b^{2/3}$ highly nonlinear bits from last paragraph.³⁶

Another approach would be to use the original 2-part (A and C only) partition each round, but also intermingle a constant number of extra rounds each intended purely to boost polynomial degree (these rounds involve A and B only).

8 Strange Epitaph

Humanity went to considerable effort to devise a very difficult mathematical problem – breaking AES. As we’ve seen, this effort probably failed.

In the other direction, the creators of the Clay million-dollar problems (e.g. the Riemann hypothesis, the question of existence and uniqueness of the solutions of the Navier Stokes

equations, etc.) tried to make important problems that would *not* be too hard to solve. In almost all of those cases, they (so far) also failed. Evidently it is not easy to estimate the difficulty of mathematical problems.

9 Tables of useful binary codes

We first tabulate good cyclic codes of length $n = 2^m - 1$ bits, $15 \leq n \leq 255$. For cyclic BCH binary linear codes with wordlength $n = 511 = 2^9 - 1$, see [1][13].

Next we tabulate the best known nonsingular pure double, triple, and quadruple circulant $[n, k, d]$ codes for $k \leq 32$, and double circulants ones for k a multiple of 4 up to a few hundred.

Finally we tabulate some miscellaneous good nonsingular pure-multirculant codes. Some good ones were also found by Gulliver & Bhargava [28] but unfortunately they did not actually state the code, only its parameters, in most cases. I thank Markus Grassl for independently checking the distances on most of the multi-circulant codes here.

³⁵Note that each level of the circuit – which we shall design to involve *bounded* fan-out and fan-in – can be made to *multiply* each bit’s polynomial degree by a constant and to raise the *number of monomials involved* to a constant *power*. The net effect of $O(\log b)$ levels is then as claimed.

³⁶Of course (you complain) the cryptanalyst could simply *guess* the sublinear number ($b^{2/3}$) of nonlinear bits (subexponential number of guesses suffice to get them right!) and then apply the bounded-degree attack as usual. But the trouble with that is, the bounded-degree attack requires a polynomially large number of plaintext-ciphertext pairs and that means you’d really need to guess a polynomially large number – *not* a sublinear number – of highly nonlinear bits. So that complaint fails.

$[n$	k	$d]$	generator polynomial in hex
7	4	3	D
15	11	3	19
15	7	5	117
15	5	7	765
15	2	10	6DB6 = $\overline{110}$ binary
31	26	3	29
31	21	5	4B7
31	16	7	F5F1
31	11	11	156C8D
31	6	15	3915ED3
63	57	3	61
63	51	5	1395
63	46	7	3B15D [56]
63	39	9	1DDC9B7
63	36	11	C881761
63	30	13	39B5C2CFB
63	28	15	EA3E88C97 [43]
63	24	15	849043596F
63	21	18	5E36C356F89 [56][43]
63	19	19	1A8AB0BEDE41 [56]
63	18	21	2AF2E52B433D
63	16	23	D4DCBBD0C9B3
63	11	26	1FD2CDA11475AF [56]
63	10	27	2D82AEAD1926B9
63	7	31	1F79D6171B48995
63	3	36	5CB972E5CB972E5C = $\overline{1011100}$ binary
63	2	42	6DB6DB6DB6DB6DB6 = $\overline{110}$ binary
127	120	3	C1
127	113	5	5F15
127	106	7	360325
127	99	9	1F93D4A3
127	92	11	EAD953887
127	85	13	7B2BE5AF377
127	78	15	33915DDA30D25
127	71	19	11069939123FDA9
127	64	21	F8541A9D18AA212F
127	57	23	4CB2B66FBCDCC4ADC1
127	50	27	3D9DD80E178D643E3225
127	43	31 [43]	17461ECD160E8E8A18D0B3
127	36	35 [63][27]	D3193DD5793D210D82AC75D
127	29	43	4A392B0318253C32F43ADB045
127	22	47	351D5D6457F297B007AE42F6127
127	15	55	1BAD16CB34E1028393FC18A07BD4D
127	8	63	FDF3D70DD31582F1DB252139688CD5

Figure 9.1. Cyclic codes with wordlength $n = 2^m - 1$.

When $n \leq 127$ we give the exact distance for the best of all cyclic codes, from [14][58][63]. The narrow sense BCH code of designed distance d is the set of polynomials mod $x^n - 1$ mod 2 which have all of g^1, g^2, \dots , and g^{d-1} as roots where g is here a primitive n th root of unity in some $\text{GF}(2^m)$ in which such a root exists, i.e. in which n divides $2^m - 1$. For $n = 2^m - 1$ (“primitive” BCH codes) the true distance is known [1][63][43] for all $n \leq 255$. It occasionally exceeds the maximum design distance of narrow sense primitive BCH codes, which is how [43] we got [127, 43, 31].

There also are cases [43][1][69] in which some non-BCH cyclic code is superior to any BCH code: [63, 28, 15] has generator $(x^2 + x + 1)g(x)$ where $g(x)$ is the generator for a BCH [63, 30, 13] code; [63, 19, 19] was kindly provided by Markus Grassl; [127, 36, 35] is a nonBCH cyclic code found by Schomaker & Wirtz’s computer search [63].

Any cyclic $[n, k, d]$ code with d odd may be converted to a cyclic $[n, k - 1, d + 1]$ code by taking its even-weight subcode; hence we do not tabulate the cyclic codes with even distances that arise in this way.

All BCH code generators and designed distances were computed by a computer program written by the author. \blacktriangle

$[n$	k	$d]$	generator polynomial in hex
255	247	3	11D
255	239	5	16F63
255	231	7	1BBA1B5
255	223	9	1EE5B42FD
255	215	11	1337DD3AD11
255	207	13	1C7EB85DF3C97
255	199	15	1F36195C443A4E1
255	191	17	16CE707E26B6F9977
255	187	19	157B5976000B493CE9
255	179	21	12CA7239EE08D439812D
255	171	23	1B0E46229C4EE1F8C7319F
255	163	25	1E810DA40F70569BE7529981
255	155	27	1FBE960583ED41BD2A34D37F9B
255	147	29	1D1160F75F3AD55887562C8413C9
255	139	31	13180F68607DB8DE3A5D853CAEEF25
255	131	37	11BCB6CCE6906958AA17F2231050EB39
255	123	39	143182A510D807CF4435A9C614B2EA8CB7
255	115	43	1855B6B7A2029D679E826017CEAB732E75DF
255	107	45	1242FE9A4365732A1EC04EB9E207EBE7A0D921
255	99	47	11AEDBAAE767C497861C81BE36955091F4698719
255	91	51	1BD0B50C35E487AE9E67A9DAA48F6D1F2E8751C971
255	87	53	120BDF38678D3D1D4CE718E7A1321BA5655DB27A2CF
255	85	55	7E331DA936A3B352E6B54AB6679E427A3A901F66C6D [43]
255	79	55	1B7003B9FD7D0020B87221DEC7CC8835ADC9FB585C4ED
255	71	61 [1]	140A722A1A468D36D87A25364E685922A1E56FD1A478C1D
255	65	63	$\theta(0, 19, 31, 39, 47, 55, 63, 91, 127)$ [69]
255	63	65	$\theta(0, 11, 21, 47, 55, 61, 85, 87, 119, 127)$ [69]
255	60	66	$\theta(7, 17, 23, 39, 45, 47, 55, 127)$ [69]
255	57	68	B5603BFC4B7F64DDD5D8BFF09EF219348FD848082D65 [69][27]
255	55	70	1A9C665A63B747BB4F4E32088428A547A6F281030493A9479E9 [69][27]
255	53	72	5FB77E606888D7CD3D1A54DE079C2CFFFE7AB9FF19B0C79AB8F [69][27]
255	51	74	1D40D0CBB61CF0251F97566A0232B2E39B09D55946D802C70E93 [69][27]
255	49	75	603C184CD9F0610DAC3C6281FEA762354158D46FD7E1A23A3083 [69][27]
255	47	85	156EC40F1969AE61B10BFE0C9B3E94DB865930940A360A5AAC67B
255	45	87	6A085C2D4E1C4B241733FA27C1B9EE02938F93EC3682378545361
255	37	91	52F3615A3703C30FF2752C7EB110EEF18F8D38D39F48ECEFC0C4803
255	33	95	6DDAAB8A835EB767B736F22165829654828229C733857BA8BE672831 [59]
255	29	95	615E6D7B76399AD5C680A78BFC9AE251351027C260C159AF8440EEA1F
255	21	111	55C8D578C1B5AE00FEC787C510D2EE182EAC7962A89ACA38C9B52E77D5
255	13	119	4D0F680A2ABA5922D7BE62A06C046C6FE4B3EB8C0CF9BF45DE162E4C28167
255	9	127	6F582A8F9D4CD021911AB5DA5CC61C9EE8A120F2CA4AFB136CFC5B8EFE9C2F
255	4	136	<u>100110101111000</u> binary
255	2	170	<u>110</u> binary

Figure 9.2. Cyclic binary linear codes with wordlength $n = 255 = 2^8 - 1$. All are narrow sense primitive BCH codes [47][70][56] except for the two repetition codes at the end and: [255, 85, 55] has generator $(x^2 + x + 1)g(x)$ where $g(x)$ is the generator for a BCH [255, 87, 53] code; this is from corollary 5 in [43]. [255, 63, 65] and various others citing [69] are nonBCH cyclic codes found by Tjhai & Tomlinson in a huge computer search. Some of them are specified in terms of elementary idempotents: $\theta(a, b, \dots)$ means that the generator word has 1-bits in locations $a2^m, b2^m, \dots \pmod{255}$ where $m \in \{0, 1, 2, 3, 4, 5, 6, 7\}$. Those have not yet been independently confirmed by anybody. Finally, [255, 33, 95] arises [59] from taking the *dual* of the *extension* [256, 223, 10] of a [255, 223, 9] BCH code – this is a [256, 33, 96] extended-cyclic code – and then removing the parity bit to get a [255, 33, 95] cyclic code. ▲

$[n$	k	$d]$	several randomly-chosen example generators
4	2	2	1, 3
6	3	3	3, 5, 6
8	4	4	7, D, B, E
10	5	4	13, B, 16, 19, D, 1C, 1D
12	6	4	17, 1F, 13, 16, 37, 2C, 3A, 25
14	7	4	4F, 56, 62, B, 1C, 6A, 34
16	8	5	47, 53, 65, 71, 2B, 74
18	9	6	6B, 1A5, B3, 4F, 127, 79, 1E4
20	10	6	171, 28D, 7A, 2F9, 2FC, 3C2, 1C3
22	11	7	6D1, 5C5, 476, 1DA, 6E2
24	12	8	7B1, 1BD, 62F, B17, A37
26	13	7	89E, 10D5, 1227, AC3, 1C89, 9E4
28	14	8	1F63, DF6, EB8, 1CAA, 2A72, 2A72, 14FE
30	15	8	6159, 29D8, 1C33, 7AE7, 3FB9, 7988, 3435, 6E5F
32	16	8	C193, BC6C, 3E41, DE86, E93, F680, E176, B258
34	17	8	1E83, 1C398, 30CD, 1253E, 19DC2, 1A45E, 1F353
36	18	8	29C4B, 4EA1, 248B2, 30DA3, 14DD4, 3F973, 3DD54
38	19	8	18D5D, 391A5, 4569B, 5CB09, 27236, 5D756, E31F
40	20	9	D041D, BA16C, 2BEC8, 1A3F1, C468F, 77609, E05E6, 68FB
42	21	10	1D7BEC, 15D676, 1D7BEC, 5F3BF, 16EA57, 1C3BB3, 16F56
44	22	10	C224F, 16C984, 1F3D61, 18E2B5, 34674, 26E255, 2474B3
46	23	11	1BFD4C, 71EC6E, 71DD8D, 6DF255, 2A73ED, 6EE3D8, BCFDA
48	24	12	3D2F57, 7AF92B, B5FC1D, A5EAE7, 3B6BF8, FEB6E, 57787E
50	25	10	1C810C5, 16D700C, 1D5F447, 1A025D, 384706, 4D6EB2, 777612
52	26	10	1059F63, 114B1A3, F0CA2, 2469465, 3B0DD2D, 3C26640, 22C84A
54	27	11	5736508, 57767CB, 3A83DBB, 345496F, 6EE0F9, 4326D73
56	28	12	CF5A902, C29A413, 6EAC225, 86A4A89, 79F32C8, E6AA0A3
58	29	12	F304D2C, EF54BA3, 1C3064BC, D0E17BC, 1DFB891F, 10AFC129
60	30	12	1D2653E4, CC4805D, 3B47EEA, 83F0A90, 156D526, 1D2653E4
62	31	12	30E6045D, 1324DE6, 65BCA9B8, 7AD1543D, 30F1B946, 1957B021
64	32	12	26CC09D4, 2BADE40, 1C8A6F80, 18C01F44, 18C01F44, 35BBA78D, 21D8CAB

Figure 9.3. Largest possible min-distance d for a binary linear pure double circulant nonsingular code with block length n , and dimension $k = n/2$. (“Nonsingular” means we assume at least one of the circulants is invertible over GF_2 ; then it may be taken to be the $k \times k$ identity matrix.) Every code listed here is in fact optimal (maximum d) over *all* binary linear codes, i.e. the restriction to pure double circulant nonsingular form does not hurt for $[2n, n]$ codes with $n \leq 32$. (I do not know whether it ever hurts; $n = 36$ might be a good candidate for a counterexample.) Found by exhaustive computer search by the author. Essentially the same exhaustive search was also performed independently by Gulliver & Ostergard [32] with the same results. (For the putative next few entries, see [10].) There usually are many codes meeting the bound, so we give at least 5 example generators in each case. However in the cases $k = 8, 16, 18, 22, 24, 28$ the optimal linear code is known to be unique up to isomorphism [32]. The example generators give the right k bits of the n -bit binary word which is the top row of the generator matrix (written in hexadecimal); the omitted left half is $1000 \cdots 00$ binary. Warning: The generators we give, when written out in full, are not necessarily minimum-weight codewords. ▲

$[n$	k	$d]$	code type	example generators in hexadecimal
8	4	4	JxQR S4	7
18	9	6	JxQR	F2
24	12	8	JxQR S4	46F
32	16	8	JxQR S4	7D2
42	21	10	JxQR	581A7
48	24	12	JxQR S4	D5E979
66	33	12	BJKS	two circulants with top rows 235 and 557; & see [29] for SD
68	34	13	BJKS	two circulants with top rows 8CD and BA9
70	35	13	BJKS	two circulants with top rows 697 and E61
72	36	14	BJKS	two circulants with top rows 93B and 3589
74	37	14	JxQR	18F8C90024
76	38	14	BJKS	two circulants with top rows 265 and E7B
78	39	14	BJKS	two circulants with top rows 33B and 4BD
78	39	14	SD	401AEAB08F; 4026366D47 [30]
80	40	16	JxQR S4	C573115202
82	41	14	BJKS	two circulants with top rows 26F and 72D
82	41	14	SD	182EF3D3 [22]
84	42	14	BJKS	two circulants with top rows 26F and 72D
86	43	15	BJKS	two circulants with top rows 149B and 2ECF
86	43	16	SD	7F7101712E2 [22]
88	44	16	SD	37F8B6B; AFA6A7B; 5F7E665
88	44	16	S4	BEFCCCB; 6FF16D7; 10576A3B [7][30]
90	45	18	JxQR	missing since no xQR double circulant exists
90	45	16	BJKS	two circulants with top rows 1179 and 3B5F
94	46	16	BJKS	two circulants with top rows B27 and 23BD
96	48	16	BJKS	two circulants with top rows A5D and 193F
98	49	16	JxQR	7FBD52AF7F84
100	50	16	BJKS	two circulants with top rows 8AF and 1B9D
102	51	16	SD	43F8A4E38 [31]
104	52	20	JxQR S4	36212E595B3EB; BBE06C72 [31]
106	53	16	BJKS	two circulants with top rows 48EB and 56F1
106	53	16	SD	4C93E1A98 [31]
108	54	16	BJKS	two circulants with top rows 36E5 and 4EF3
108	54	16	SD	2E32DA2F [31]
110	55	16	BJKS	two circulants with top rows 5C9D and 66DF
110	55	16	SD	4023BCC5D4 [31]
112	56	?	?	wanted but missing!
114	57	16	JxQR	22F8847D1077DC
118	59	18	Moore	locate 1-bits at squares mod 59 [33][53]
120	60	?	?	wanted but missing!
128	64	20	JxQR S4	F6EE37DF1F21263D
134	67	22	Moore	locate 1-bits at squares mod 67 [33][53]
138	69	22	JxQR	156235414D0DEC2CA
152	76	20	JxQR S4	AA5B7A42497EA3151DD
166	83	16-28	Moore	locate 1-bits at squares mod 83 [33][37]
168	84	24	JxQR S4	(no xQR double circulant exists)
192	96	24 or 28	JxQR S4	DDE6AC8F2CBE2536CBCBBCF
194	97	22, 24, 26, or 28	JxQR	1F4A979E952FF25116AB44527
200	100	32, [69]	JxQR S4	E7D0AA9EAAC9D550257CCAE51
214	107	18-34	Moore	locate 1-bits at squares mod 107 [33][37]
256	128	?	?	wanted but missing!
262	131	20-42	Moore	locate 1-bits at squares mod 131 [33][37]

Figure 9.4. Good binary linear pure double circulant codes with block length n , dimension k , and minimum Hamming distance d . BJKS=tailbiting code [10]; JxQR=Jensonized [38] extended QR [47][67] code; bounds on minimum distances from [47] as updated by Boston [12], Coppersmith & Seroussi [19], Grassl [26], and Tjhai & Tomlinson [69].³⁷ SD=self-dual

³⁷“Extended quadratic residue codes” have parameters $[n, n/2, d]$ where $n = p + 1$ and $p = \pm 1 \pmod 8$ is prime. These (in their usual presentation) are length- p cyclic codes with circulant generator whose first row is the binary word with 1-bits located at the nonzero quadratic residues mod p . This matrix has rank $(p + 1)/2$ not full rank p . Then an extra parity bit is added to each codeword. But a theorem by Jenson [38] is that these (for many but not all p) may be *rewritten* as pure-double-circulant codes.

code; best such code (restricted to pure double circulant) is known for $n \leq 88$ from exhaustive searches [35][29][30]. Results for $n > 88$ unfortunately are sparser and arise from nonexhaustive searches. S4 means “doubly even” code (all weights divisible by 4). QC means quasi-cyclic code without self-duality properties. Distance upper bounds are from computer discoveries of low-weight codewords, distance lower bounds are from theorems or exhaustive enumerations of codewords. All these results are from previous authors and are merely copied, not checked, by me. ▲

$[n$	k	$d]$	several randomly-chosen example generators (hex)
6	2	4	2,3; 3,1
9	3	4	2,6; 3,7; 1,6; 2,7
12	4	6	D,6; E,C; D,3; C,B
15	5	7	1C,1A; B,E; 16,7; D,19
18	6	8	35,38; 35,7; 35,E; 2E,7; 17,7
21	7	8	71,3B; 2F,61; 6E,42; 54,4D; 35,61
24	8	8	65,8F; 35,5E; 90,E5; 63,8A; 72,31
27	9	10	16F,C5; 16,1B3; 136,1C8; 11E,9C; 183,9B
30	10	10	107,3C6; 391,2EF; 71,34D; 276,322; F1,38E
33	11	11	688,35F; 21F,1A1; 4F7,1D9; 307,24D; 3EE,F5
36	12	12	EE3,D7E; 36F,615; CF6,855; 7C1,D28; 827,6E7
39	13	12	4C6,1523; DFD,CB9; 1737,1D63; 1698,23E; 56B,53A;
42	14	13	1DA5,2585; 8D8,2335; BEB,206F; 121E,239B; 2CA9,C6E
45	15	14	F1C,6115; 58F2,2C5; 393F,793; 386E,6C8C; 7890,7F72
48	16	14	6C31,E2A0; 1379,823D; 1B8F,CC28; 333D,CE62; 1571,4FB6
51	17	16	C15E,C07D; 150E6,29E6; D52C,EC52; EAE0,47E2; B34E,5AD3
54	18	16	238B9,112D5; 2B4A6,2FB48; 3564C,35A81; DE33,587E; 2482F,2C851
57	19	16	72D85,785EA; 526EA,177CA; 4025E,7CCEF; 4D8A,39A9C; 6E34B,2233A
60	20	17	9C70F,A867E; B2350,452C6; 5A4F9,6F4F3; BC390,76AE4; C5ED0,5DCCE
63	21	18	12DB03,182A21; 1DFB4D,1DFDE0; 19B46B,5B3E4; D8466,D79A3; 9D928,14638B
66	22	18	3723BF,1F3CAB; 10A34F,342386; 296CF6,103D9A; BE1B9,2187D5; 1C673D,EF4FA
69	23	19	74201A,2E40EA; 470B92,5442C8; 74AAF,490DAA; 5AC1EA,3C09AA; 6ECED8,4DA555
72	24	20	6B8069,9A21E; F0C872,C20F04; D61CFB,63F36; CCDE17,732F31; 949F15,F754C7
75	25	20	13EC8D0,1D477CF; D0BC7E,1864F00; EA90F3,1F17E90; 17D01EB,DCC93E; 1E9EF9F,1BB5C43
78	26	20	35F5FB6,3AEE445; 2F91218,159A397; 3B92C1B,2156CBA; 13D5272,318B2D8; 1E55DDF,38577C3
81	27	21*	50EDAF6,24FBECF; 5EB168E,54DD201; 68C6EBB,29E6927; 1505F96,66D47C6; 86C9B9,E461B3
84	28	22	8FDDC60,31504BE; 6C2ADCD,A8B1C08; 5B8B400,9D08FB3; 300FF36,C408BD8; 87A8955,3D34AF3
87	29	24	C2EDD0D,13D122F30; [6][5]
90	30	24*	7A398E9,2CE225F6; CB2057B,19AF2E5A; 38F373A1,1AC73EF4; 2CA5713D,1840C5AA
93	31	24	2FCE6FE7,1C1CDA74; 47238AAB,718B675C; 4F42E036,2B198C37; 140EB06B,67163998
96	32	24	68696422,79290BCA; 9839965,7AC16CCF; 1F45032,46C2C5D7; C3BECA6,55EAF9F3; 334BAC2D,150825B8

Figure 9.5. Putative largest possible min-distance d for a binary linear pure triple circulant nonsingular code with block length n , and dimension $k = n/3$. All, except for Bhargava et al’s³⁸ remarkable [87, 29, 24] code which arises from the quadratic residues and nonresidues mod 29, were found by *nonexhaustive* computer search by the author. The example generators are the right k and k bits of the n -bit binary word which is the top row of the generator matrix (written in hexadecimal); the omitted left third is $1000 \cdots 00$ binary. Warning: The generators we give, when written out in full, are not necessarily minimum-weight codewords. Our search rediscovered all previously-known record parameter sets *except* for [87, 29, 24], and found two new records according to [16] (indicated as *). Also our entries for $k = 20, 23, 24, 25$ improve over [28]’s table of records from 1995. (For the putative next few entries, see [10].) ▲

³⁸Actually, this code was known earlier to Assmus and Mattson in 1968, see Information Processing 68 (North Holland 1969) pp. 205-206.

$[n$	k	$d]$	several randomly-chosen example generators (hex)
60	15	20	EE,298B,3A21; 6876,B28,32A8; 430E,4C8,6FE5;
64	16	22	62A0,9B87,82B5; 1EAE,DFA,69A2; 5EF7,D1F2,53E4; A78E,DC0C,3E05
68	17	23	10875,BB19,D841; D65,165F8,D05F; 6B08,3676,149A1;
72	18	24	929E,2042E,306BC; 3AF8A,307B2,4EC8; 259FA,1A873,CEDD; 36D8B,2AB04,33BBB;
76	19	24	3C71,14C71,C07A; D6AF,44283,5E490; 1447C,1C702,4836F; 4BBB4,4577A,5CA76;
80	20	25	2C2BD,AE3F,112E1; C2677,7B31F,B1C09; 71FED,35F32,DFEE6
84	21	27	106260,CE09C,A3AF8; 28C7C,1AEA84,815A2; 1F2122,1C3825,34D14;
88	22	28	14A505,1989,ECF99; 5C7ED,28037D,8EA90; 273C3,308716,17D6D0; 491F,2A2BBF,3B694B
92	23	28	672C04,57234C,668860; 6ABB31,14D5D2,167EBB; 5B25B3,A857C,278B0A
96	24	28	1FFB95,58B722,60C92D; E1DB10,28C51E,69F911; D4CE47,5C44AC,F23546;
100	25	30	DF66B8,363977,1972FD; 13CD999,11E2EBD,16E1386; 3618D7,171EFC8,263631;
104	26	32	5D1450,3ECDD21,173197E; 1E7A4FD,1D37A82,117E233 [10]
108	27	32	4C1C5E6,661B626,341C736; 36D92C2,18B7A7,7F2DD07; 2D0032,4175DD9,47789EF
112	28	32	F8A5B16,D966159,DD6DD77; 98D644C,C4911F7,BF68711; 4578549,87E12BE,8D76679
116	29	33	12DBC9CD,CAAA1AF,A25D73E;
120	30	34	026BBE,380BDF6B,16B611A6; C6D5EAA,187882DF,2F6BBC5A;
124	31	34	57E21B5B,567428B,3E3BD01F; 2D5A1B79,7AB77E2C,29009890; 308FEF36,56577632,1C24562;
128	32	36	667BB4A1,4047883C,1AE54E70; 65458FED,49ECE954,1CA756D6; 2E3D34F3,6979DC51,4E73E14C

Figure 9.6. Putative largest possible min-distance d for a binary linear pure quadruple circulant nonsingular code with block length n , and dimension $k = n/4$. All were found by *nonexhaustive* computer search by the author, except for [104, 26, 32] where the second of the two codes we give was found by Markus Grassl [27]. The example generators are the right k , k and k bits of the n -bit binary word which is the top row of the generator matrix (written in hexadecimal); the omitted left quarter is $1000 \dots 00$ binary. Warning: The generators we give, when written out in full, are not necessarily minimum-weight codewords. New records starred, and also our entries for $k = 16, 20, 21, 24, 25, 26$ improve over [28]’s table of records from 1995. (For the putative next few entries, see [10].) ▲

$[n$	k	$d]$	example generators (hex)
64	8	28	4C,D,7,BD,79,69,2C; 7E,17,E,F6,49,4,35
128	8	64	83,15,C8,EC,E5,A7,DF,49,EA,37,B,4C,6B,1F,D,1; 82,B1,1B,28,93,39,FA,C9,41,EB,FA,50,63,EB,B1,93
80	16	28	DB01,F664,213F,3526; C43C,6CFA,3902,2492; 4C04,3D9F,9442,60AE; D805,3A52,EA98,4992
96	16	36	60F1,E29C,EAA5,4898,4698; 47CD,1807,5EA,2CCA,5FF8; 2FCF,EFD,FF2B,5C76,87D5
112	16	42	2533,E8DC,370,BEAD,246D,6732; 5525,10E6,BA4D,51C3,767B,91EB; 7CB2,EEAC,8A78,95A1,8171,EB74
256	16	113	5E10,4681,D654,444,3857,2C45,3EC9,C00E,99FB,DE68,6842,4397,E3DB,642B,E7FF,8BE7
160	32	48*	3EE5ECF4,2D20C360,1732A1A,6FF015E; 20CDC201,794E8F80,3E8604FA,DAEB533
192	32	60	79C09B76,69744FAA,79A9A68A,433BB7DD,62C26CF7
256	32	87	308F4D32,4FE2FF3F,73608F6C,40039CEA,4F347864,58663AF2,39D16E7A; 80000001,6B6AD3F7,B632D179,91A7D33F,F785CF91,C350577F,26AF14D1,931CBDD

Figure 9.7. Miscellaneous nonsingular multi-circulant linear codes $[n, k, d]$ with k a power of 2 generated by $k \times k$ circulants. New record indicated by *. The [128, 8, 64] codes are optimal and arise from 128×128 Hadamard matrices (one was found by Grassl, the other by me, both using the same construction idea). The [256, 16, 113] code is remarkable. My new construction of it involved starting from the [257, 16, 114] cyclic code described in footnote 27 and considering a permutation consisting of 16 disjoint 16-cycles which is an automorphism of this code and which leaves the first coordinate fixed; delete that first coordinate and rearrange the other coordinates of the code to conform to the cycles. Then shortening by deleting the best subsets of its 16 cycle-generators yields quasicyclic codes with the following parameters: [128, 16, 50], [144, 16, 57], [160, 16, 64], [176, 16, 72], [192, 16, 80], [208, 16, 86], [224, 16, 94], [240, 16, 103]. Quasicyclic codes with all 9 of these parameter sets had been found by a computer search by Gulliver & Bhargava [28] but they did not actually state codes that proved it.³⁹ Markus Grassl contributed our second [256, 32, 87] code, given here as the first rows of 8 circulants, and note unlike the other codes, Grassl’s circulants do *not* include (by convention) the identity matrix. Quasicyclic codes with the following parameters are wanted, but not available: [256, 64], [192, 64]. ▲

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³⁹You also can obtain quasicyclic [256, 15, 114] and [160, 15, 63] by taking the even-weight subcodes of our [256, 16, 113] and [160, 16, 64].

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10 Appendix on Notation

AES = Advanced Encryption Standard.

DES = Data Encryption Standard.

Pc-pair = plaintext-ciphertext pair.

BNSBCH code = binary narrow-sense BCH code (§6.3).

We denote the AND of two binary words x, y bitwise (or x and y could each just be single bits) by $x \wedge y$; OR is $x \vee y$, and XOR is $x \oplus y$. The bitwise complement of x is $\neg x$. $\text{GF}(q)$ denotes the finite field with q elements.

A “linear” function $F()$ is one with the property that

$$F(x + y) + F(0) = F(x) + F(y)$$

where addition is over some appropriate field(s).

A “binary code” is a set of distinct fixed-length binary words. It is “linear” if whenever two words x and y are in the code, then so is $x \oplus y$. Binary linear codes with 2^k elements may be described concisely by stating their $k \times n$ Boolean “generator matrix” whose rows form a linear basis for it. The entries M_{jk} of a “circulant” $n \times n$ matrix M depend only on $j - k \bmod n$. A binary linear code is “pure double circulant” if its $k \times 2k$ generator matrix consists of two $k \times k$ circulant matrices next to each other. The parameters of a code are $[n, k, d]$ meaning each codeword has length n bits, there are 2^k codewords, and the minimum Hamming distance between two codewords is d . The “dual code” is the new set of codewords which have dot product zero with any codeword in the original code. Viewed “geometrically,” it is an orthogonal subspace, hence also is a linear code.